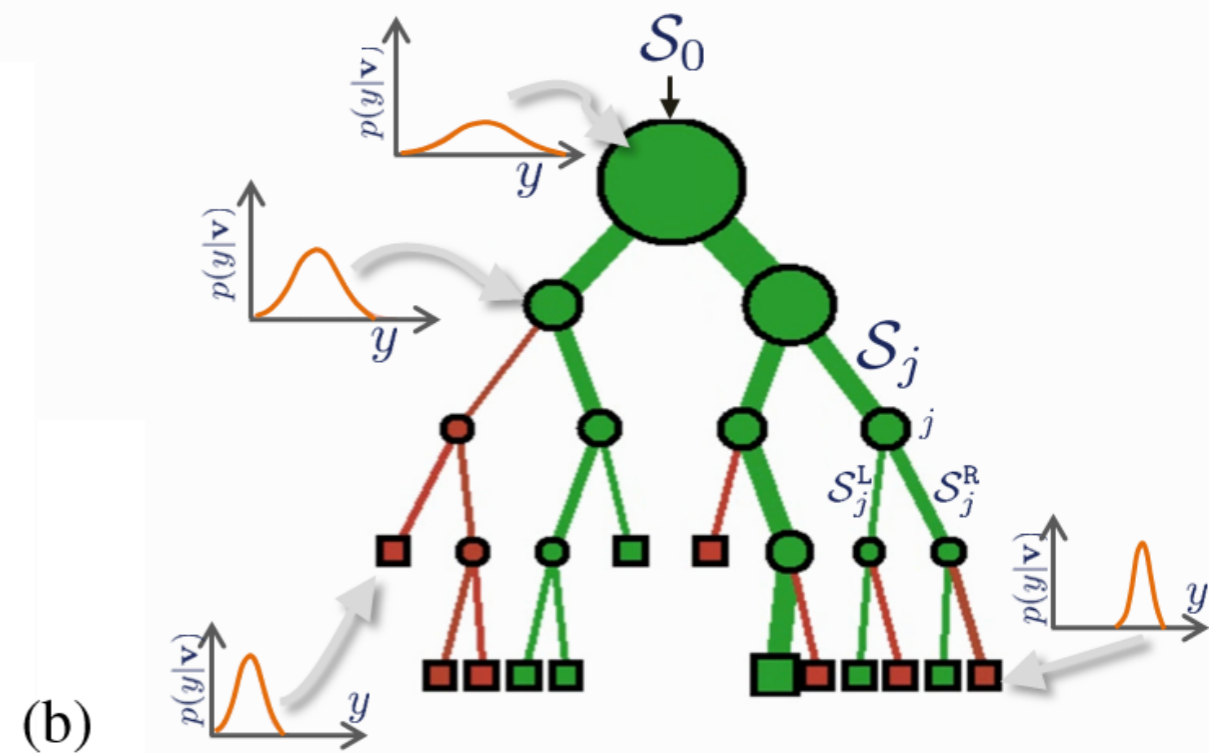
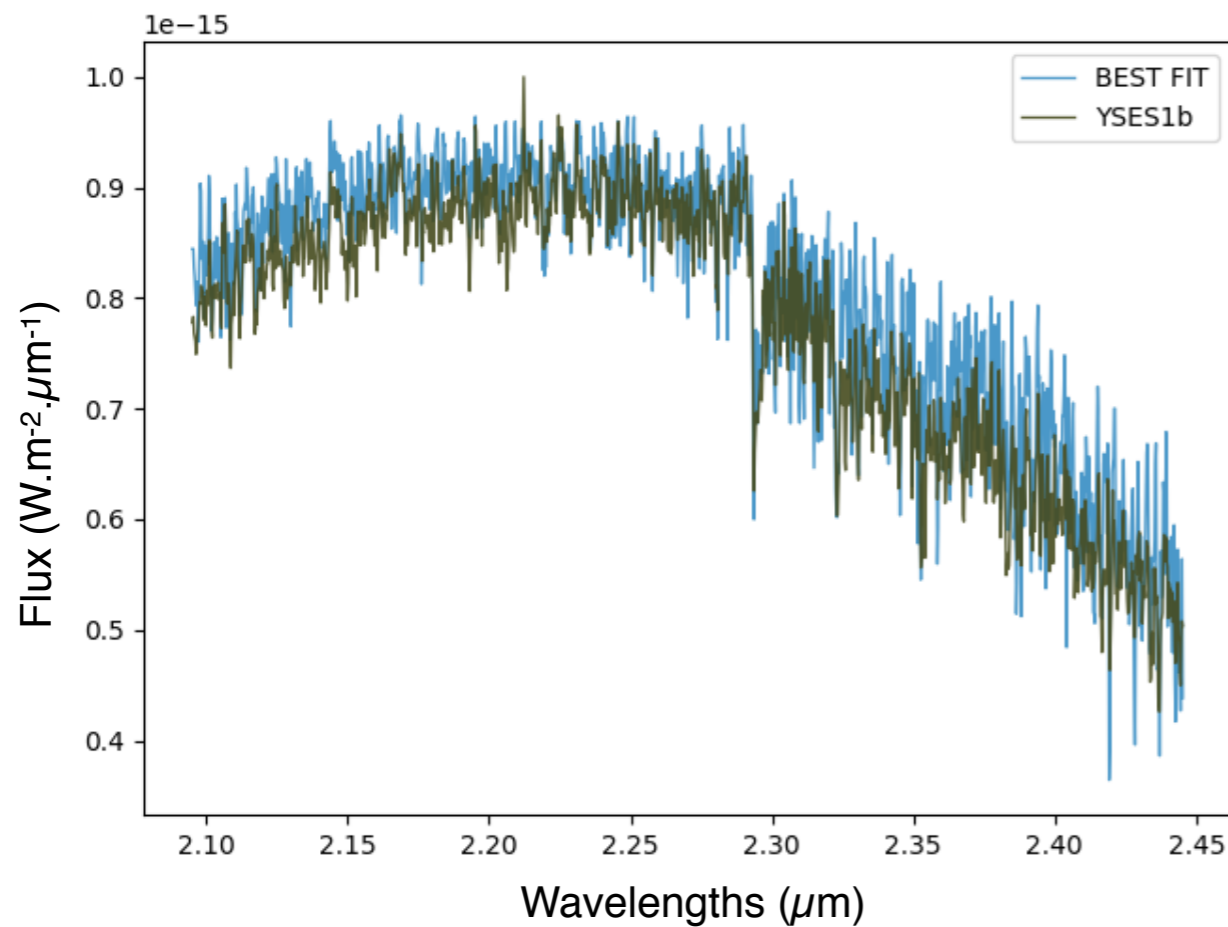


Inversion of Exoplanet Spectra @ COBREX from Bayesian Inference to Machine Learning

Mickaël Bonnefoy (IPAG-CNRS)

Dev. Team: S. Petrus (U. Valparaiso), P. Palma-Bifani (OCA-Lagrange), P. KOMBA-BETAMBO (LESIA-CNRS), M. Bonnefoy (IPAG-CNRS), G. Chauvin (OCA-Lagrange), A.-M. Lagrange (LESIA-CNRS)



COBREX meeting · Saint Aygulf · Oct. 4, 2022

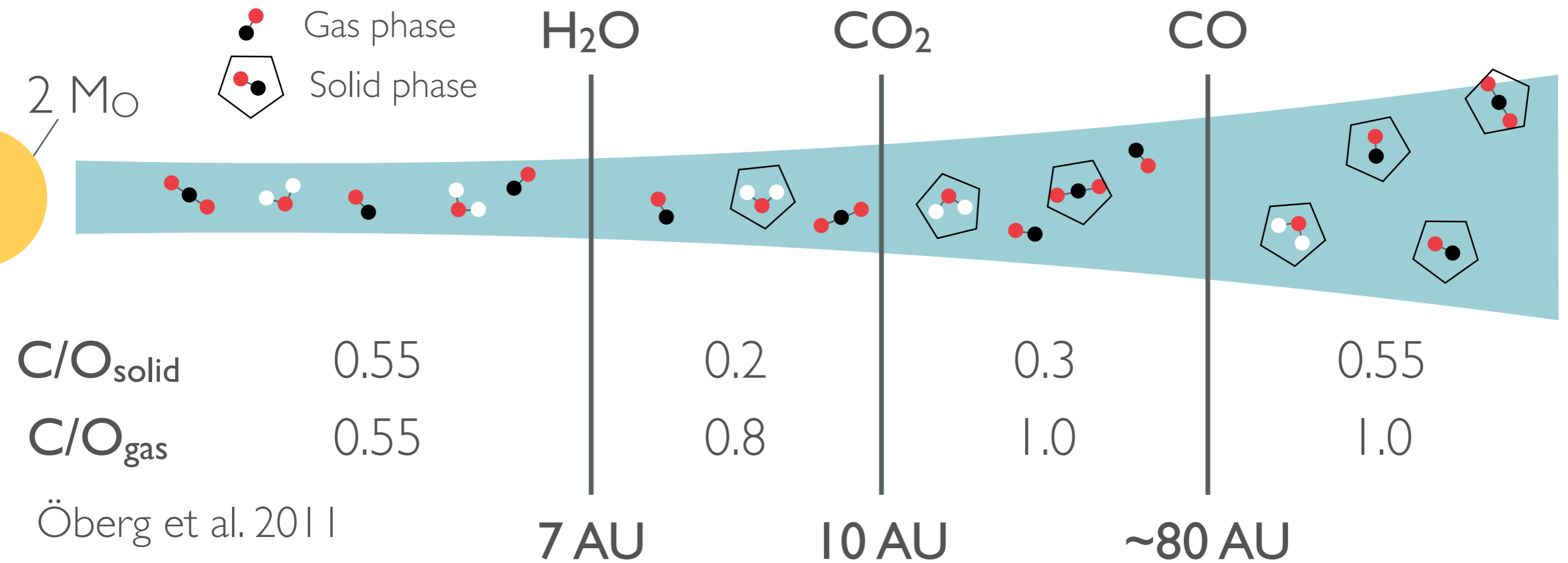


This project has received funding from the European Research Council (ERC) under the European Union's Horizon 2020 research and innovation programme (COBREX; grant agreement n° 885593)

Motivation

Formation tracers

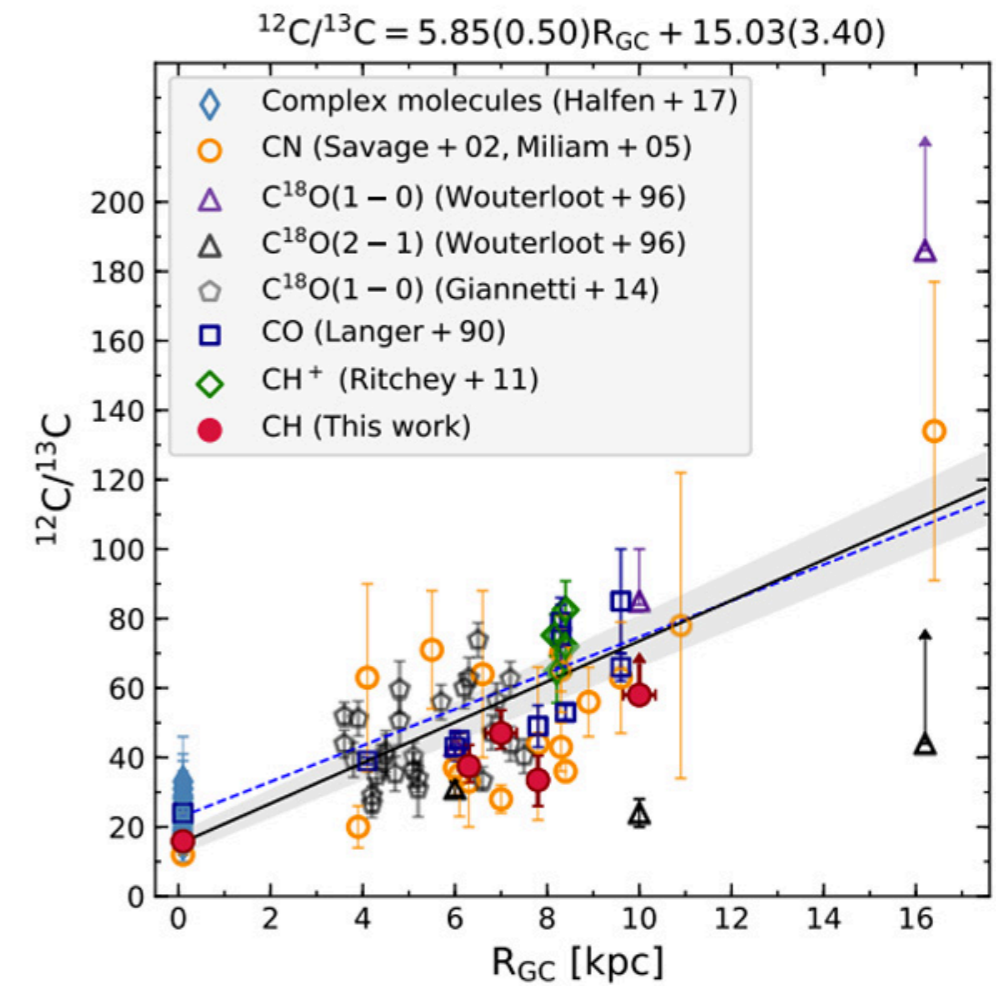
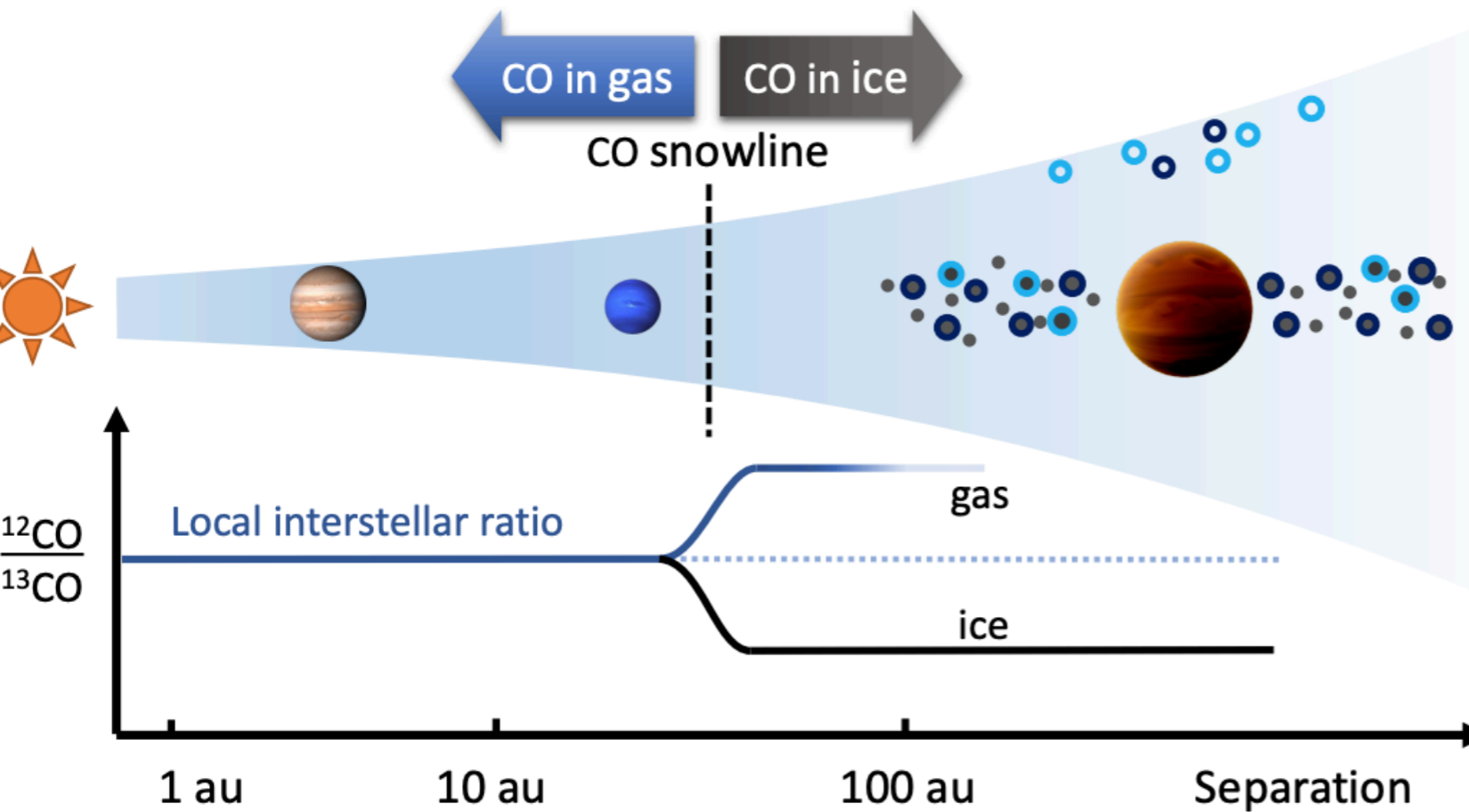
The C/O ratio: formation location & accretion of solids [?]



Motivation

Formation tracers

The $^{12}\text{CO}/^{13}\text{CO}$ ratio: thermal processing of solids @ formation [?]

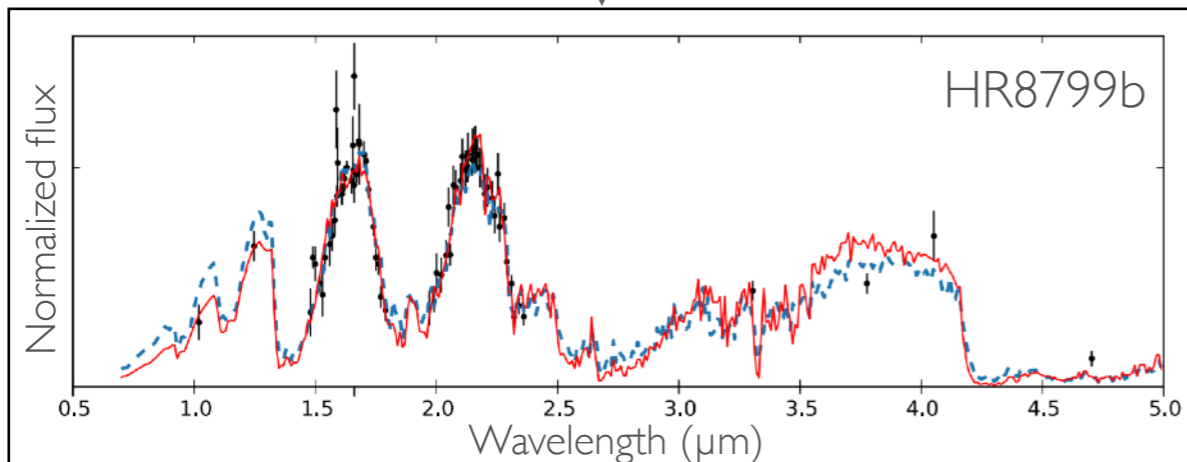
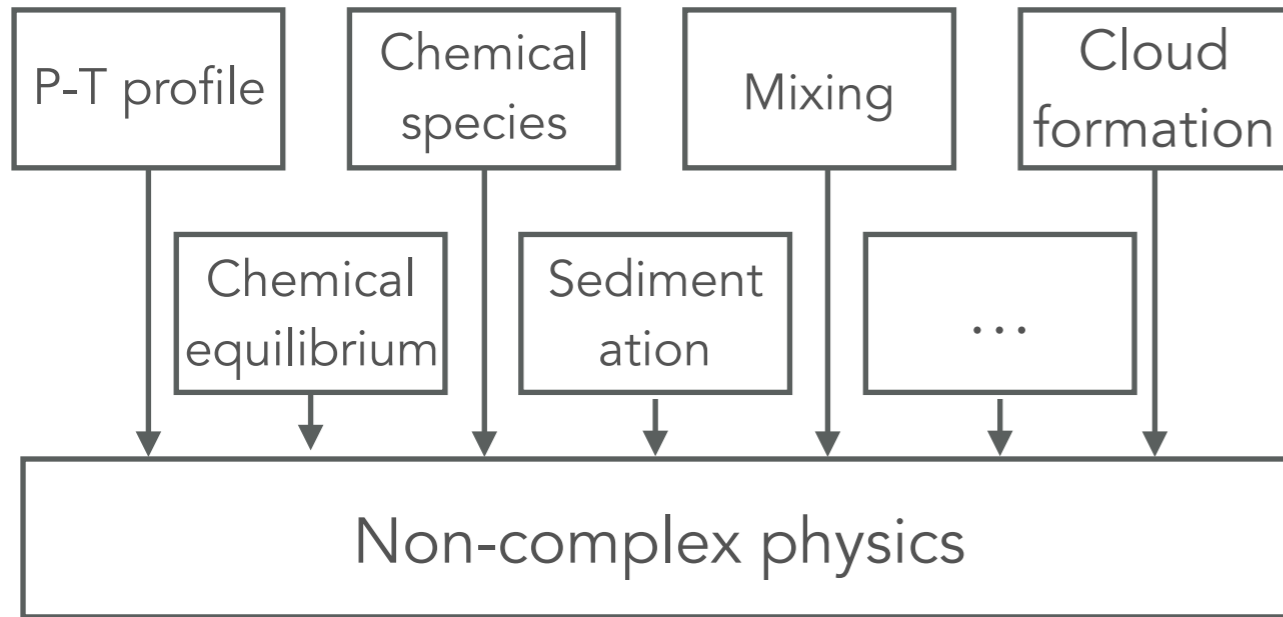


Cowing et al. 2020

Motivation

Formation tracers

RETRIEVAL



HELIOS-R (Lavie et al. 2017)

The data drive the fit

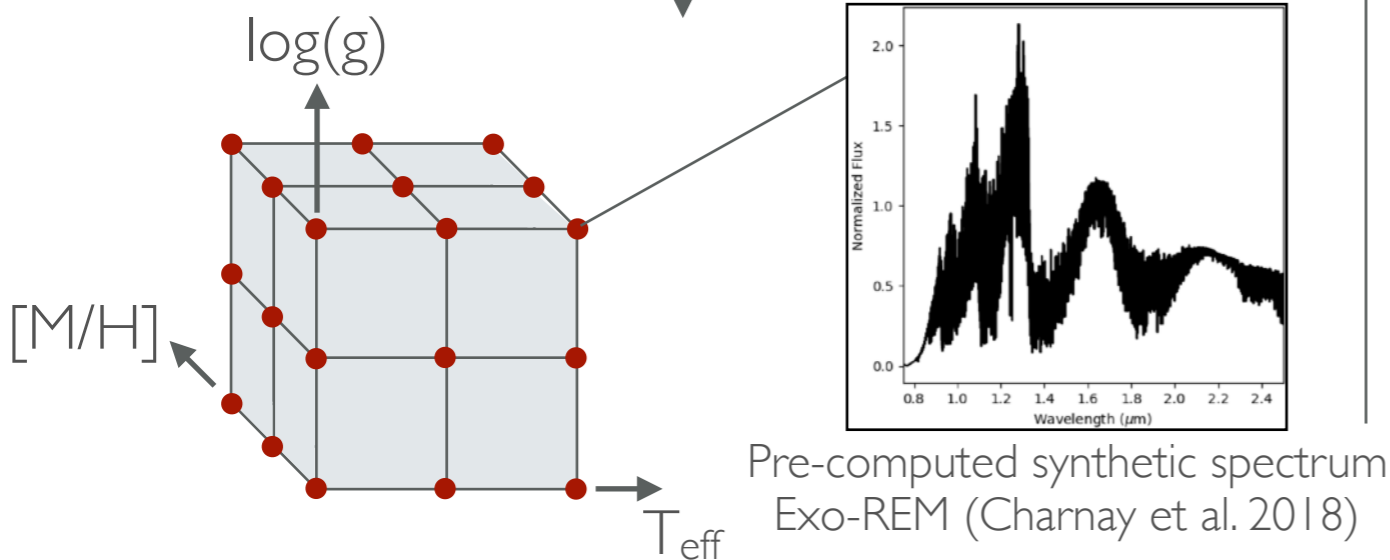
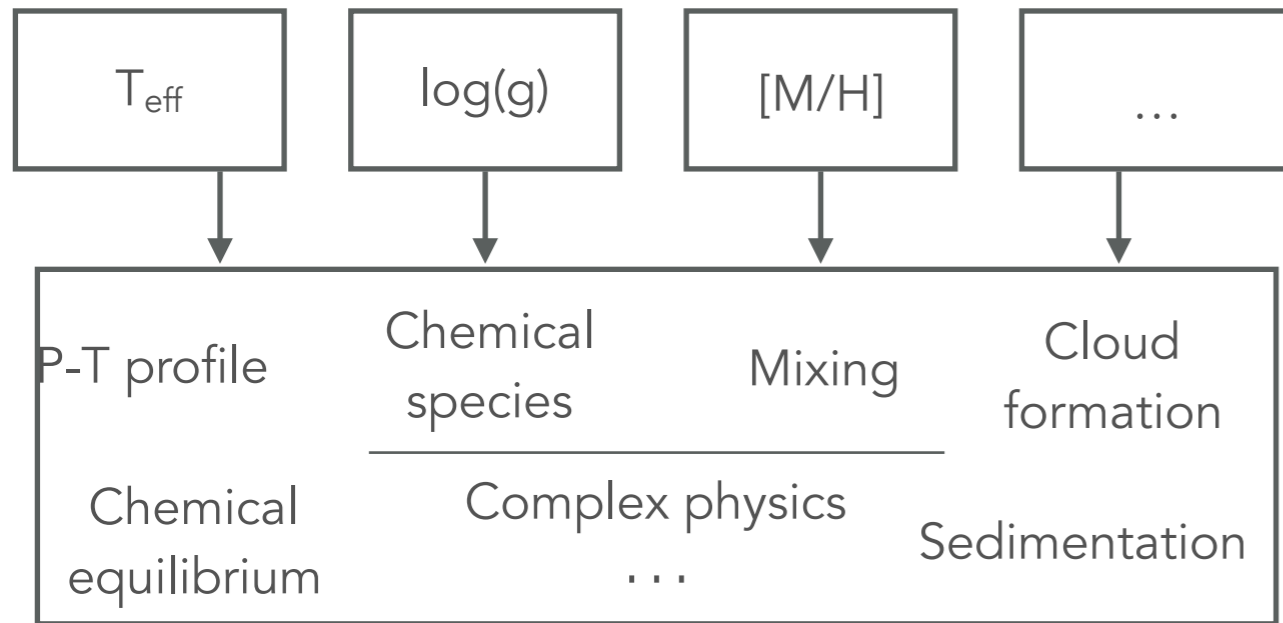
Several tens of free-parameters

Retrieval models: complex enough to reproduce the clouds?

Several days of computing time for data with thousands points

Motivation

FORWARD MODELING



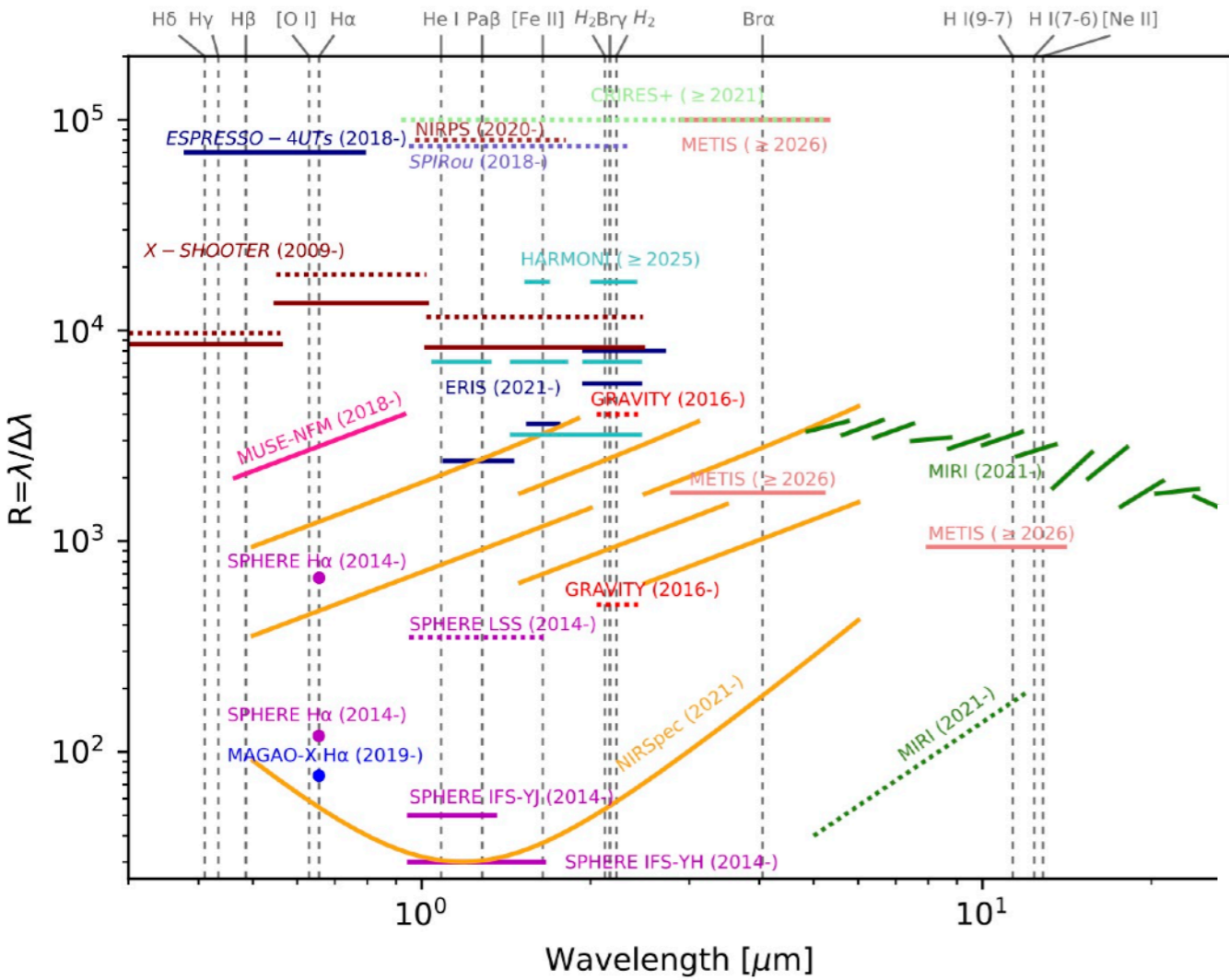
Models drive the fit

Fundamental parameters of the atmosphere (≤ 5 today)

Auto-consistent models

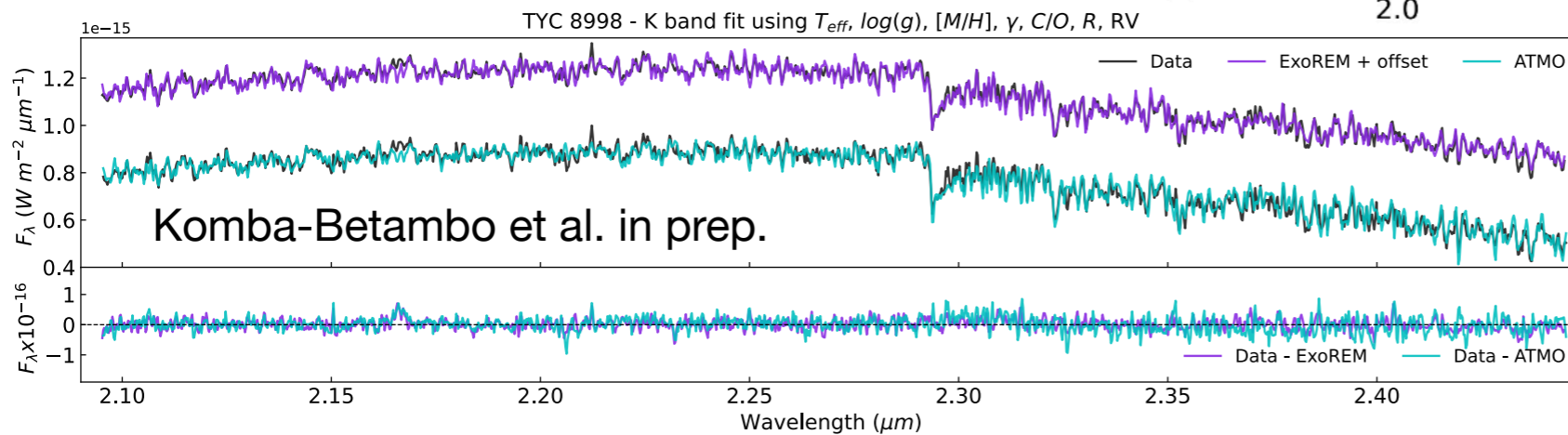
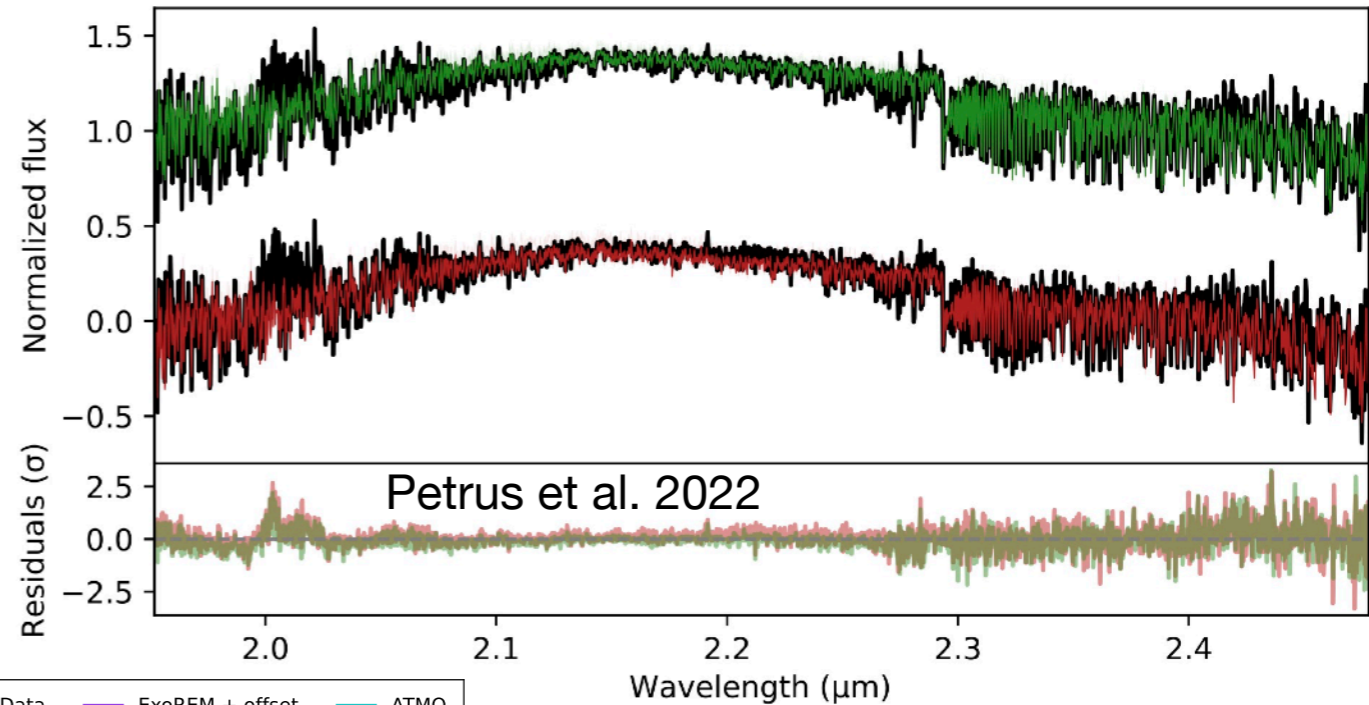
Several hours of computing time for data with thousands points

Motivation



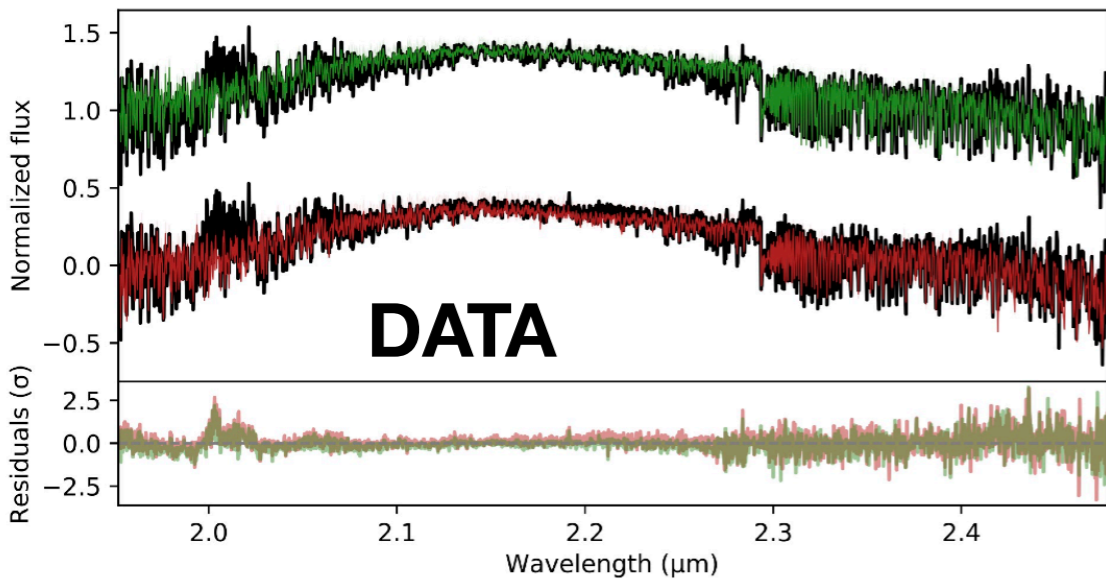
An embarrassment of riches

- 10^3 to 10^6 datapoints
- possibility of vast spectral libraries

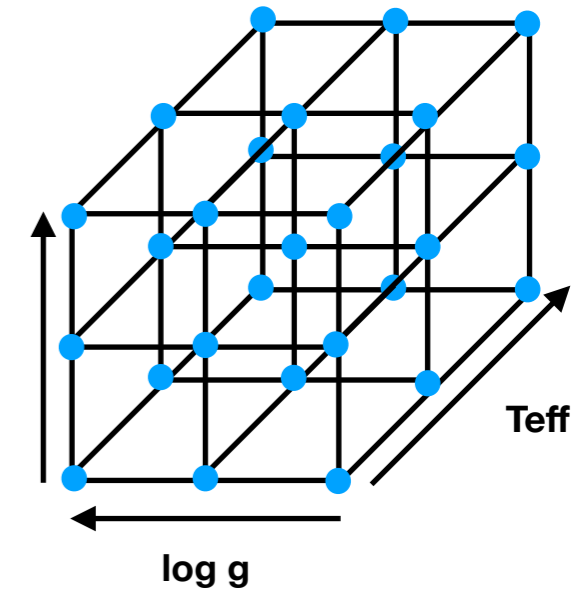


Motivation

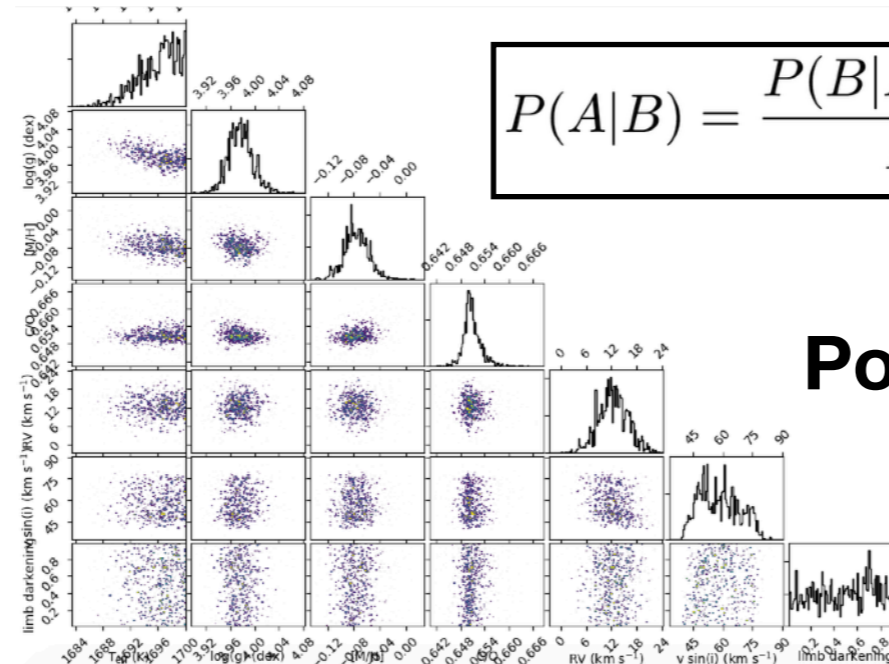
Bayesian Framework: posteriors, model selection



Model spectra



$$P(A|B) = \frac{P(B|A) \times P(A)}{P(B)},$$



Posterior distributions

...but **time-consuming** (computation time explodes with dimensionality)

Motivation

Bayesian Framework: posteriors, model selection

Prior

Probability to have θ a priori.
(Information that we have)

Likelihood

Probability that θ reproduce correctly \mathcal{D}
(Comparison $m(\theta)$ to \mathcal{D})

$$\frac{\Pr(\theta | \mathcal{M}) \times \Pr(\mathcal{D} | \theta, \mathcal{M})}{\Pr(\mathcal{D} | \mathcal{M})} = \Pr(\theta | \mathcal{D}, \mathcal{M})$$

\mathcal{D} : Data

\mathcal{M} : Model

θ : Parameters

Evidence

Probability that \mathcal{D} give information related to \mathcal{M}
(Usefull for model to model comparison)

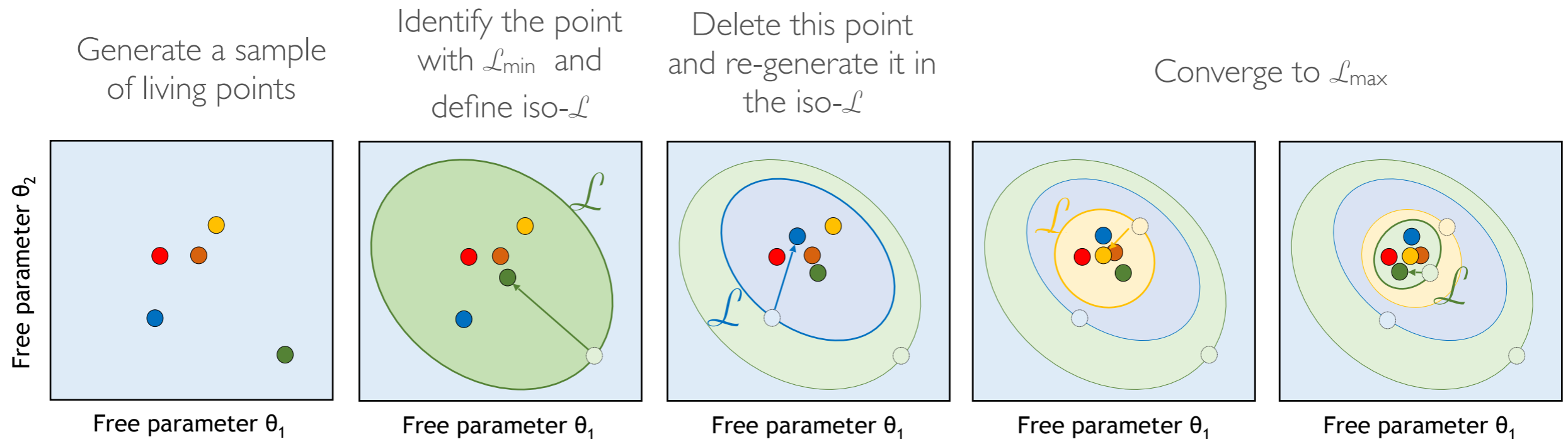
Posterior

Probability to have θ a posteriori.
(Increased information that we have)

Motivation

Bayesian Framework: posteriors, model selection

The nested sampling algorithm (alternative to MCMC)



Iterative process

Motivation

Bayesian Framework: posteriors, model selection

Pros

- Accurate estimate of posterior distributions
- Allows to input prior information (flat, log, normal)
- Can account for correlated noise (covariance) and penalties

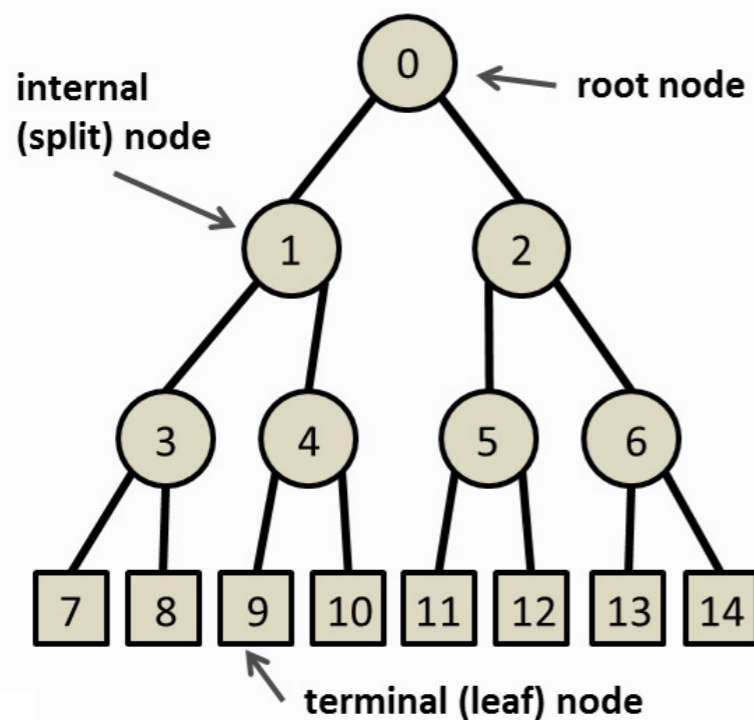
Cons

- Time consuming
- Non replicable inversion
- Do not relate data to constraints on free parameters

The random forests method

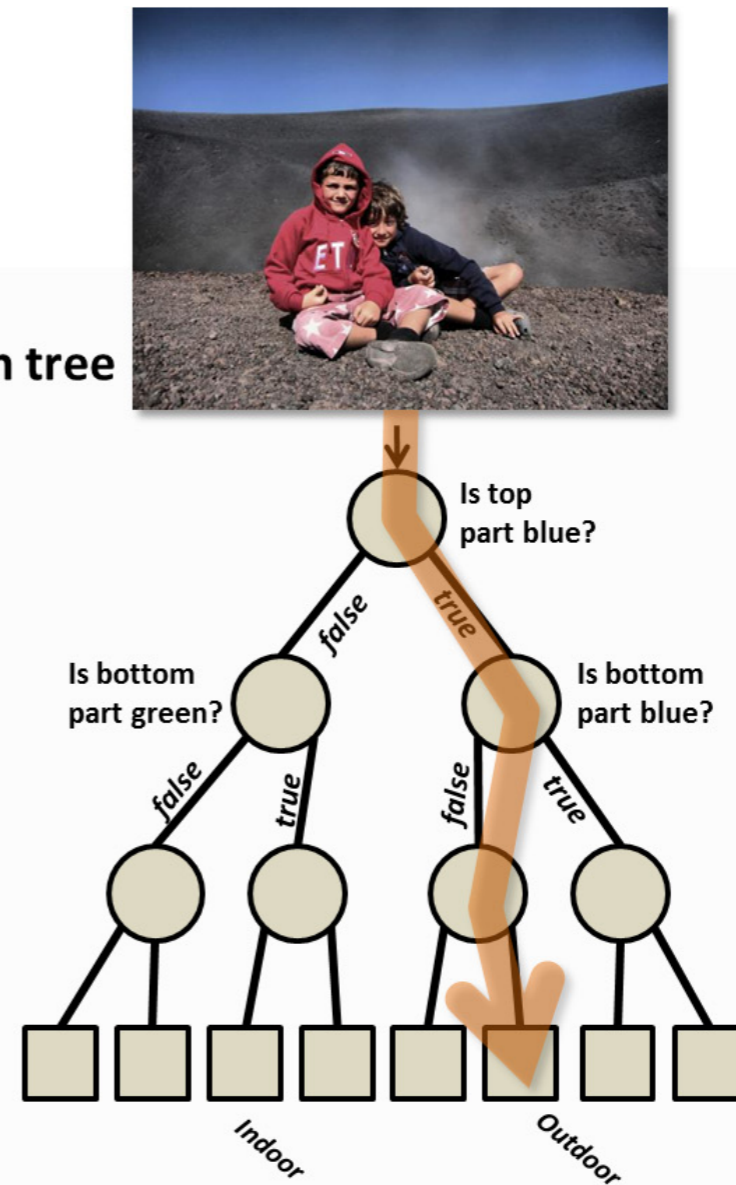
A versatile machine learning technique for regression & classification

A general tree structure



(a)

A decision tree

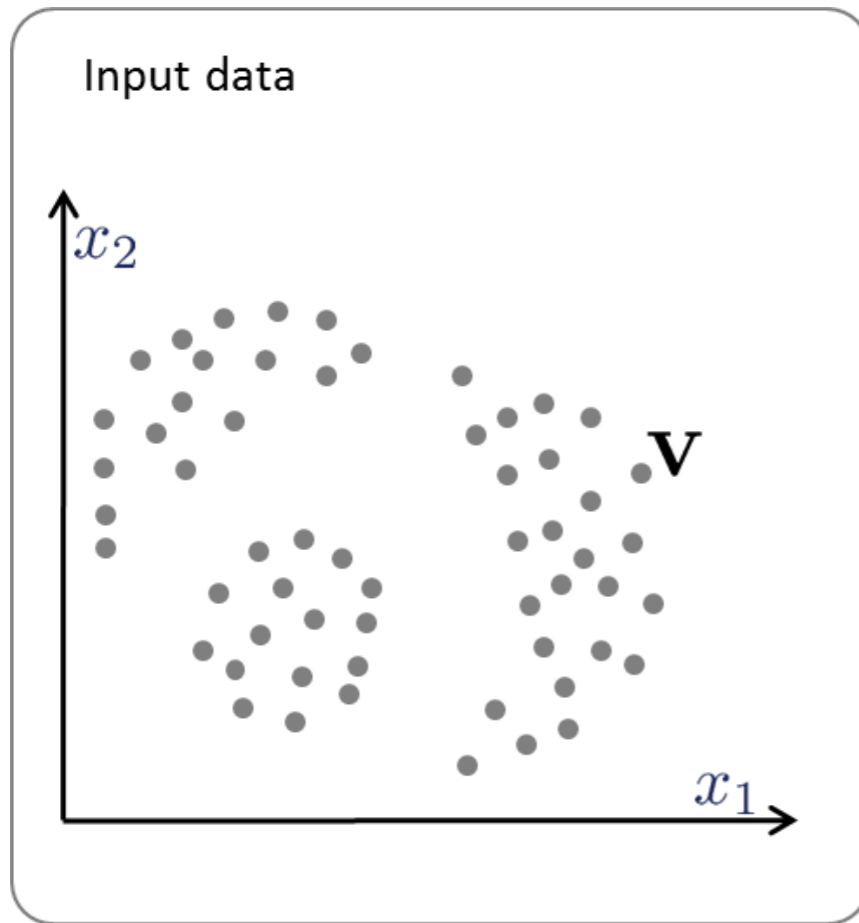


(b)

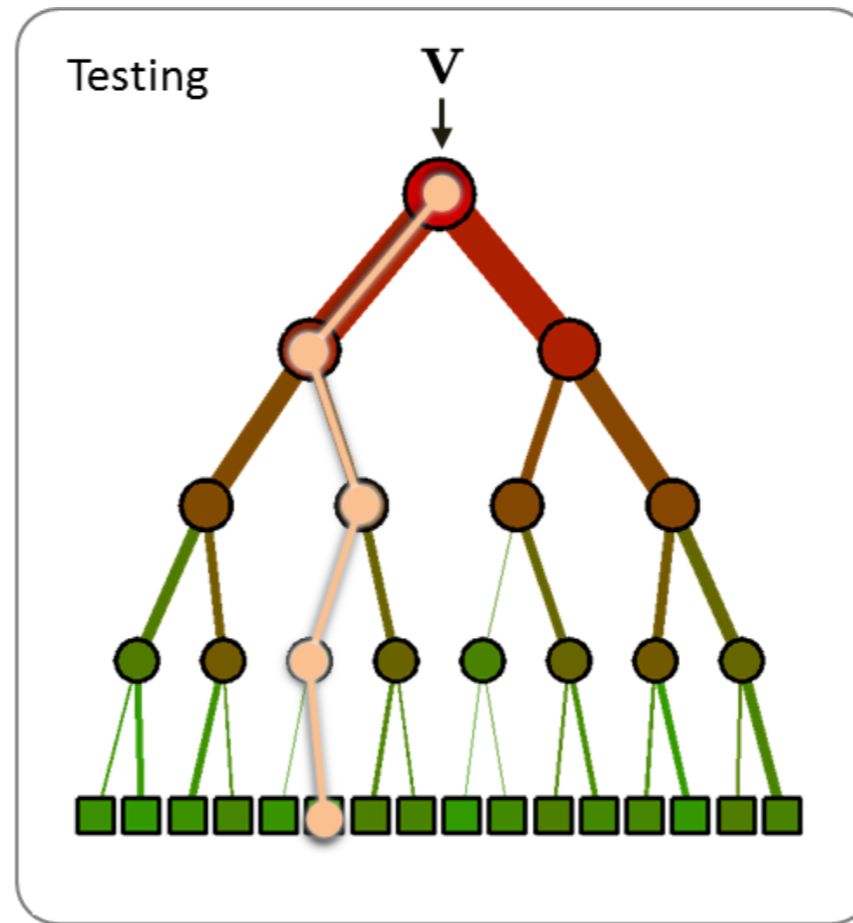


The random forests method

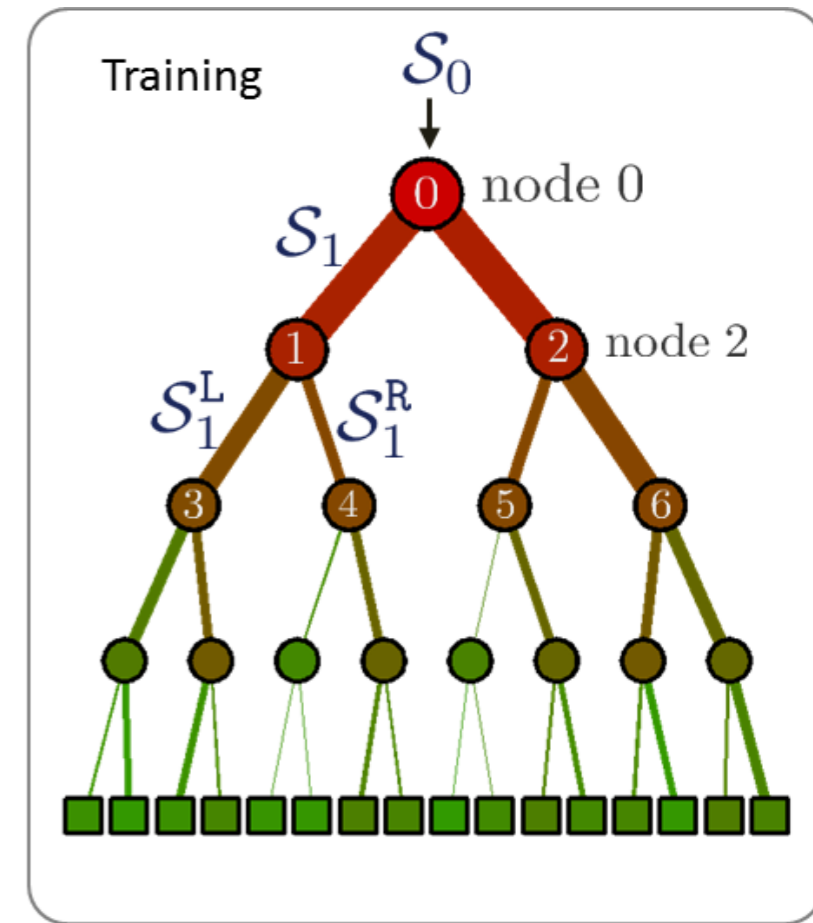
A versatile machine learning technique for regression & classification



(a)



(b)



(c)

The random forests method

A versatile machine learning technique for regression & classification

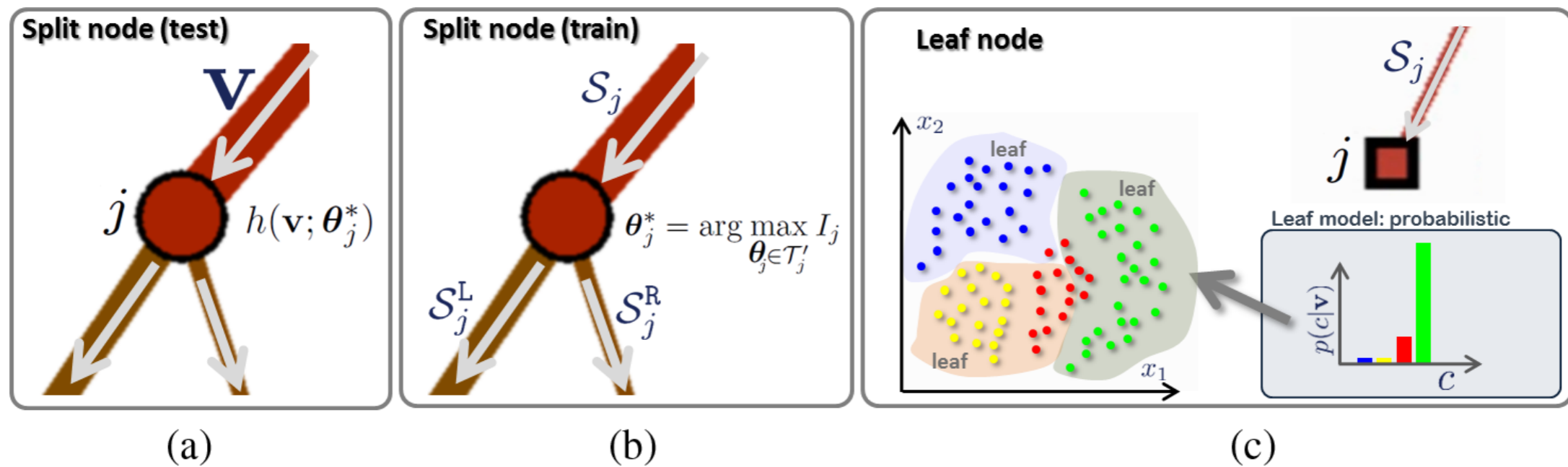
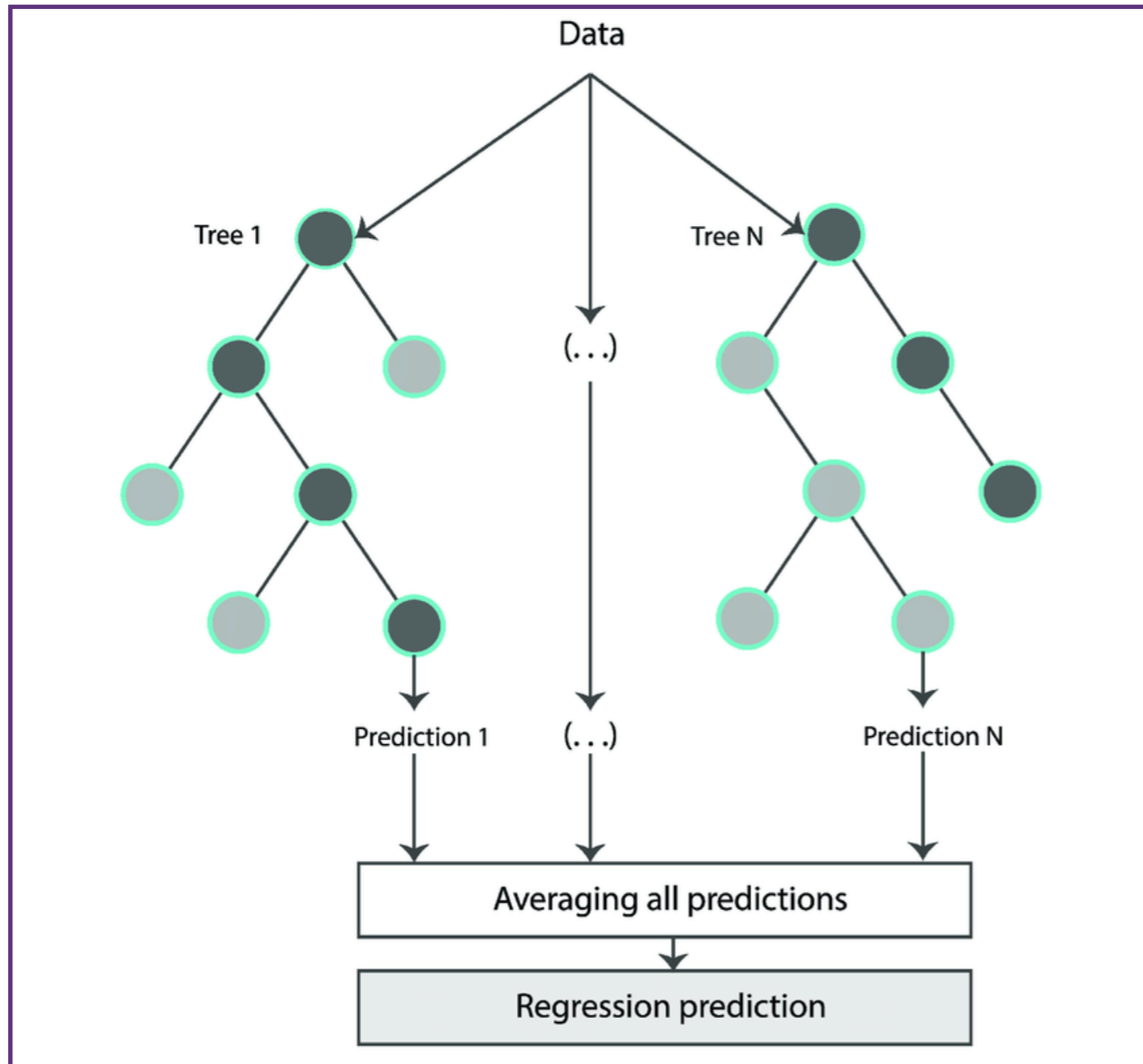


Fig. 2.3 Split and leaf nodes. (a) Split node (testing). A split node is associated with a weak learner (or split function, or test function). (b) Split node (training). Training the parameters θ_j of node j involves optimizing a chosen objective function (maximizing the information gain I_j in this example). (c) A leaf node is associated with a predictor model. For example, in classification we may wish to estimate the conditional $p(c|\mathbf{v})$ with $c \in \{c_k\}$ indicating a class index.

The random forests method

A versatile machine learning technique for regression & classification



N trees : bagging (Mont-Carlo)

$$y = f(x)$$

Dependent variable
Atmospheric parameters

Feature vector
Spectrum

The random forests method

Original Framework

Application (code) : scikit-learn

Application (exoplanet atmospheres) : Marquez-Neila et al. 2018
Oreshenko et al. 2019

HELA code

1 - projection of spectrum errors on grids (monte-carlo)

1.5 - reinterpolation of grids (finer mesh)

2 - training on grid (.fit)

3 - regression (.predict)

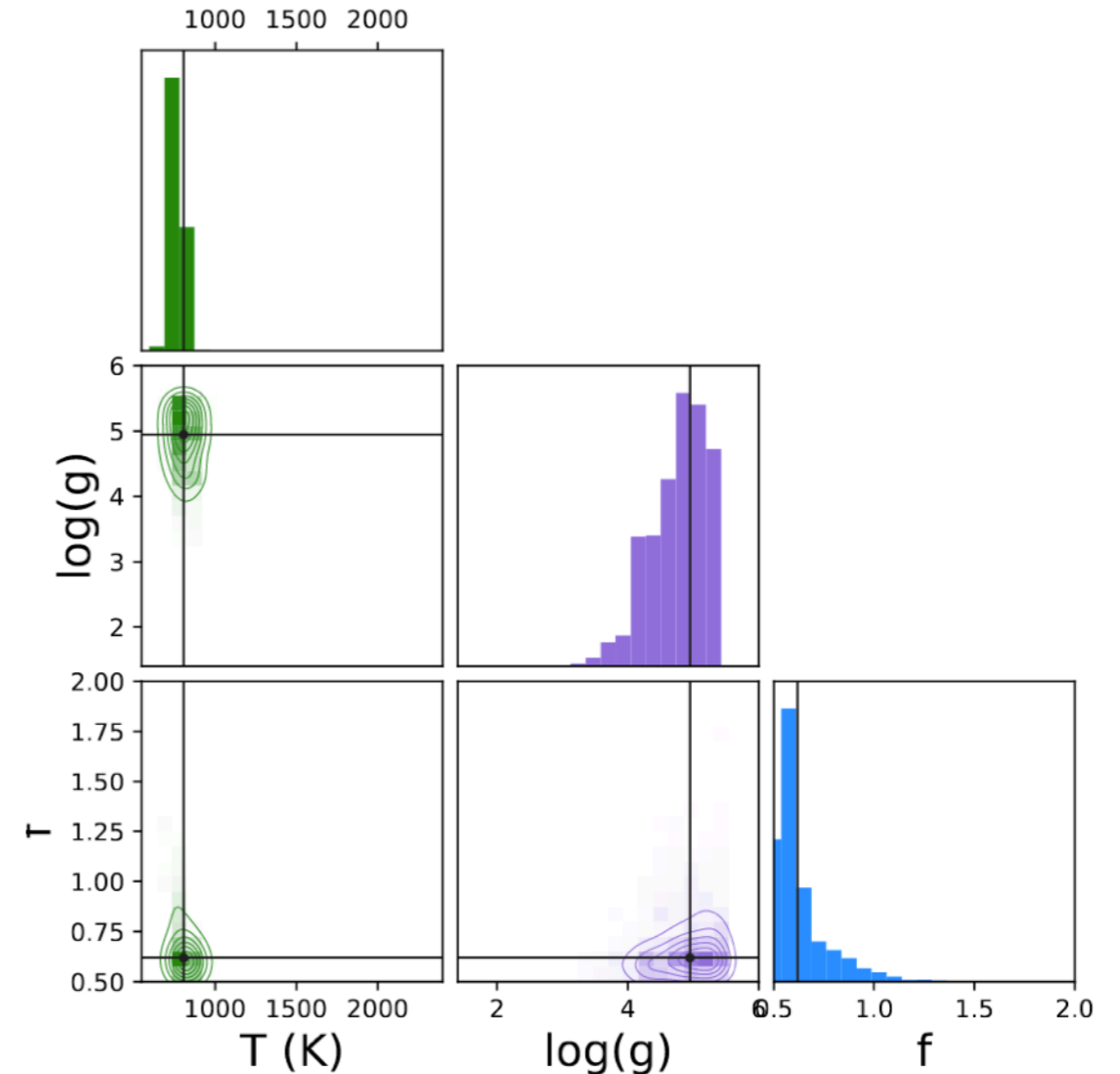
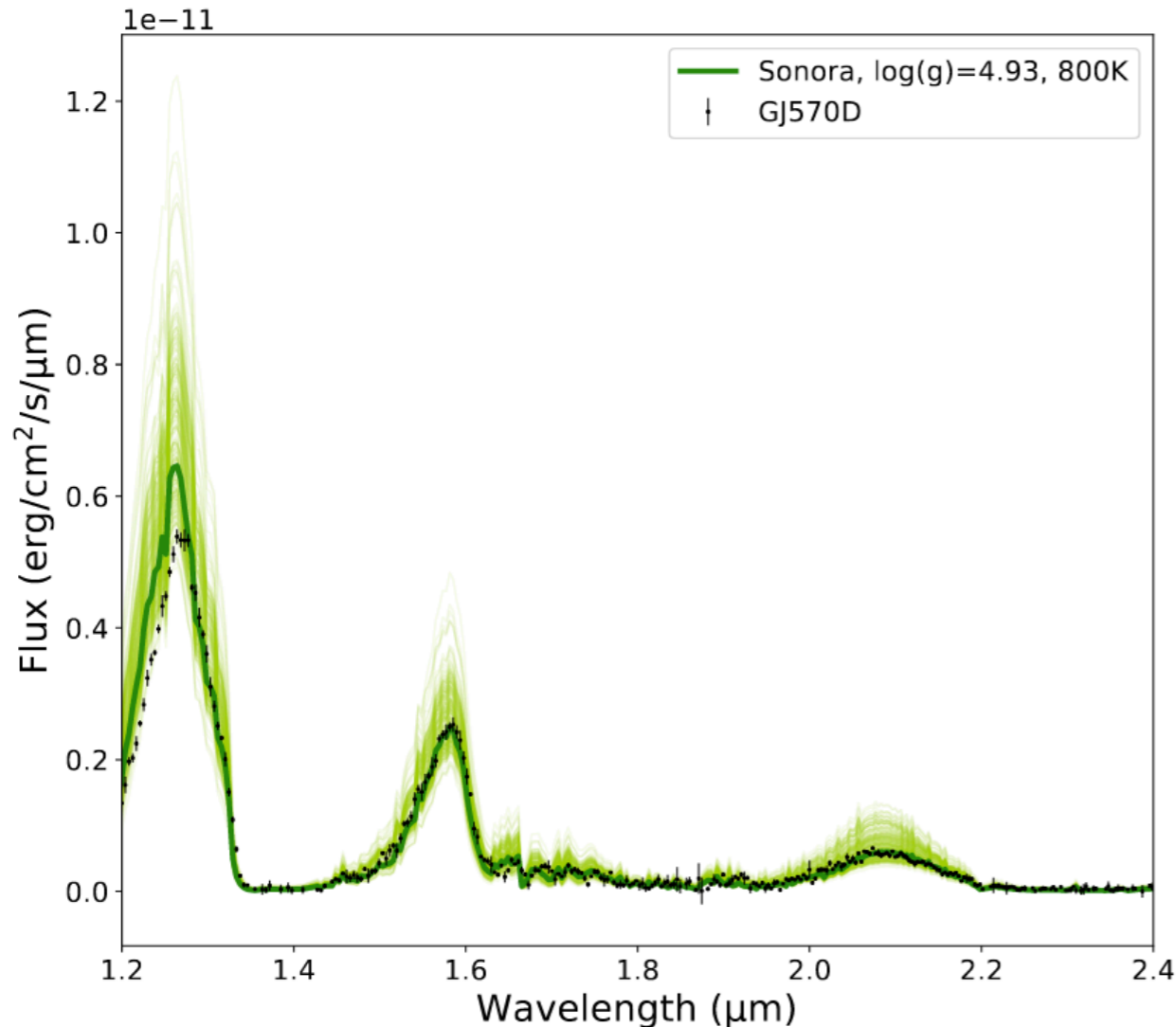
The random forests method

Original Framework

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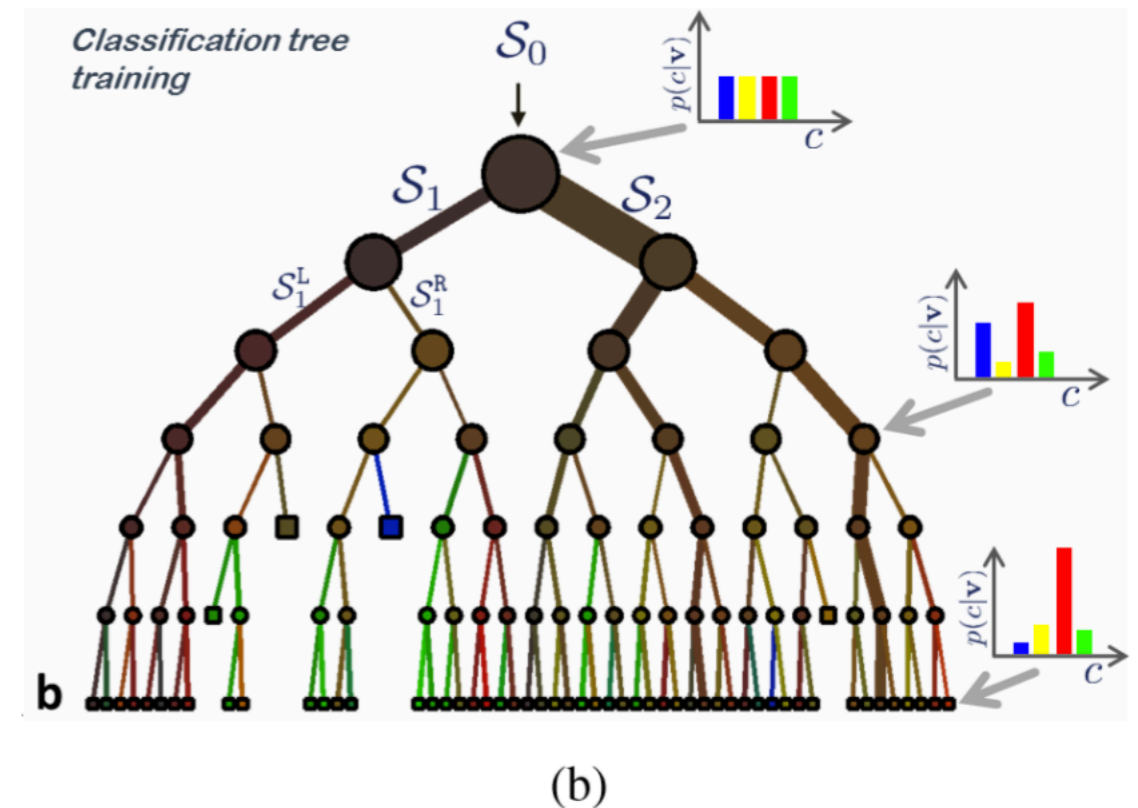
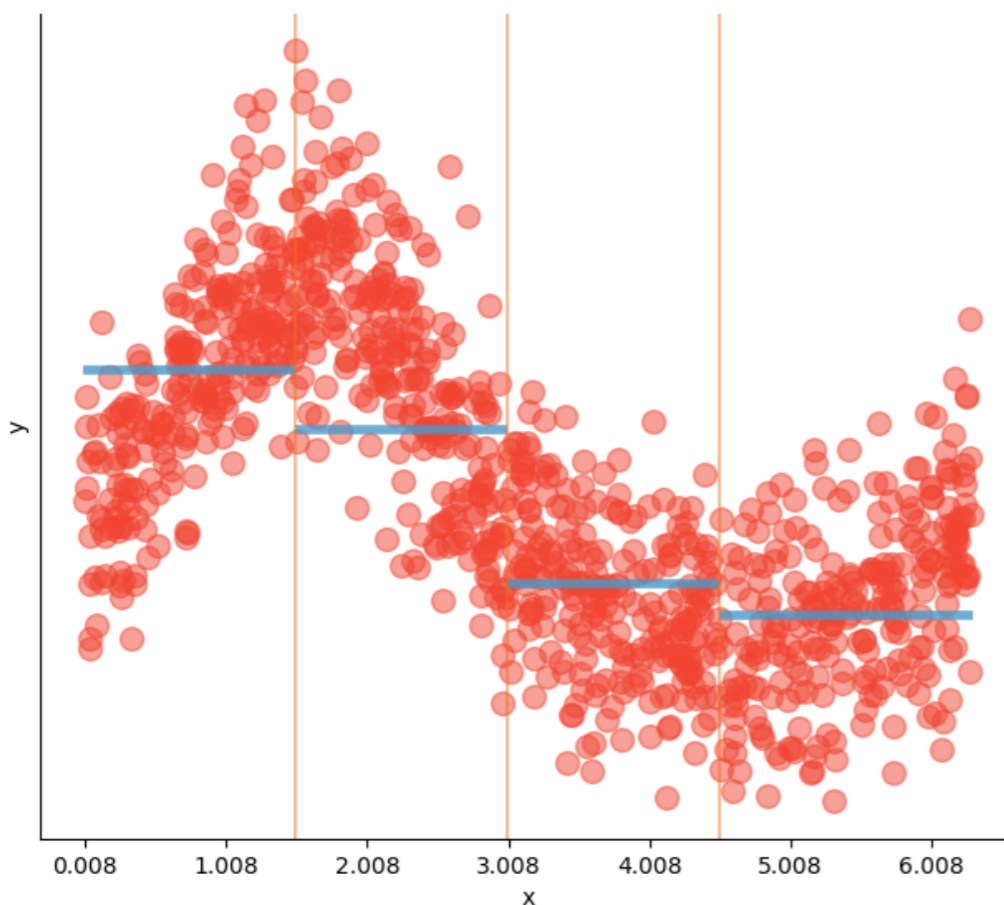
HELA code



The random forests method

`sklearn.ensemble.RandomForestRegressor`

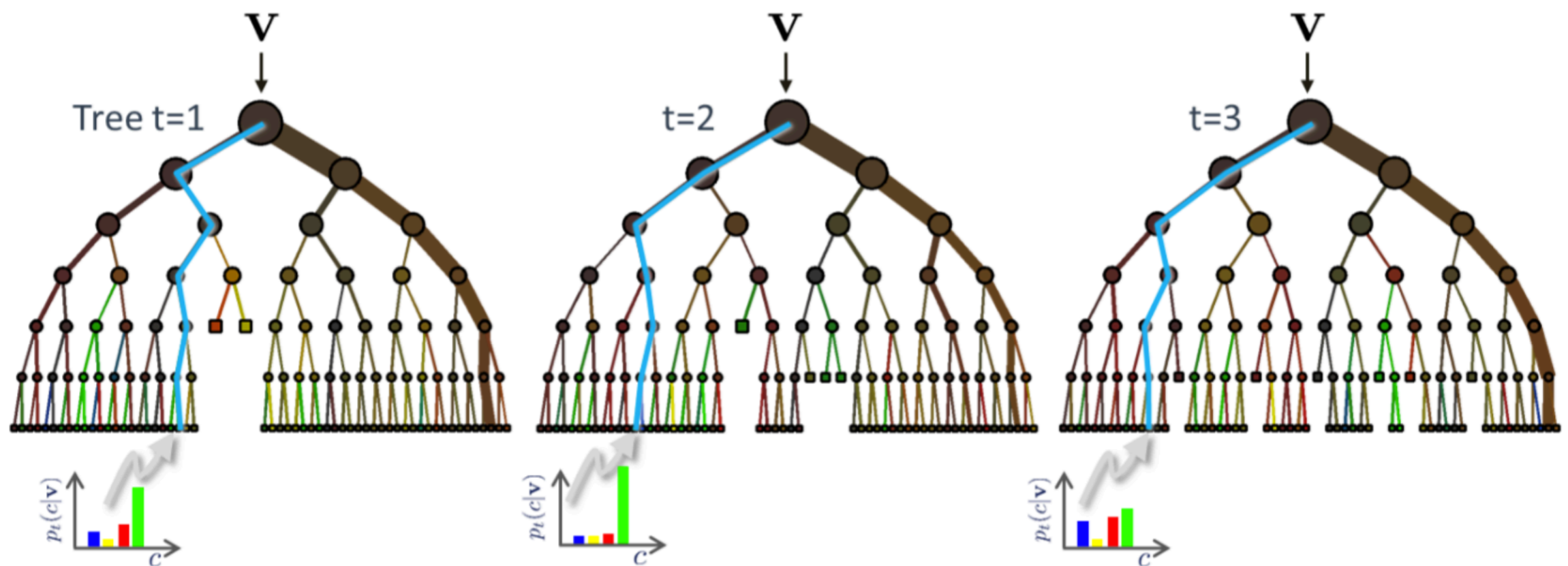
- Split function: mean-square error (variance reduction)
- Fit function: constant per partition



The random forests method

`sklearn.ensemble.RandomForestRegressor`

- Split function: mean-square error (variance reduction)
- Fit function: constant per partition



The random forests method

`sklearn.ensemble.RandomForestRegressor`

- Outputs

`predict(X)`

[\[source\]](#)

Predict regression target for X.

The predicted regression target of an input sample is computed as the mean predicted regression targets of the trees in the forest.

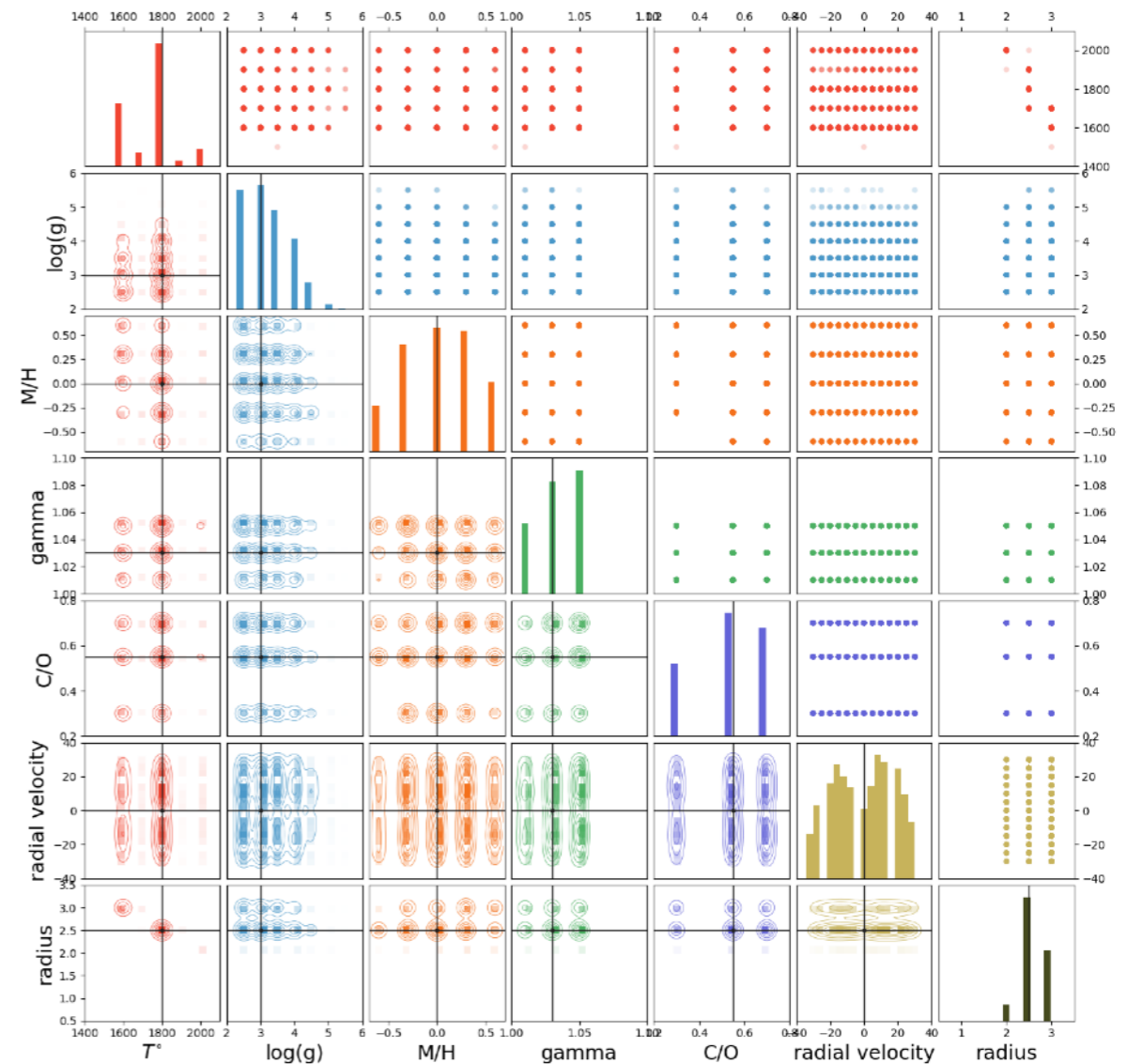
Parameters::	<i>X : {array-like, sparse matrix} of shape (n_samples, n_features)</i> The input samples. Internally, its dtype will be converted to <code>dtype=np.float32</code> . If a sparse matrix is provided, it will be converted into a sparse <code>csr_matrix</code> .
Returns::	<i>y : ndarray of shape (n_samples,) or (n_samples, n_outputs)</i> The predicted values.

The random forests method

`sklearn.ensemble.RandomForestRegressor`

- Outputs

The outputs are depends of the original grid mesh!

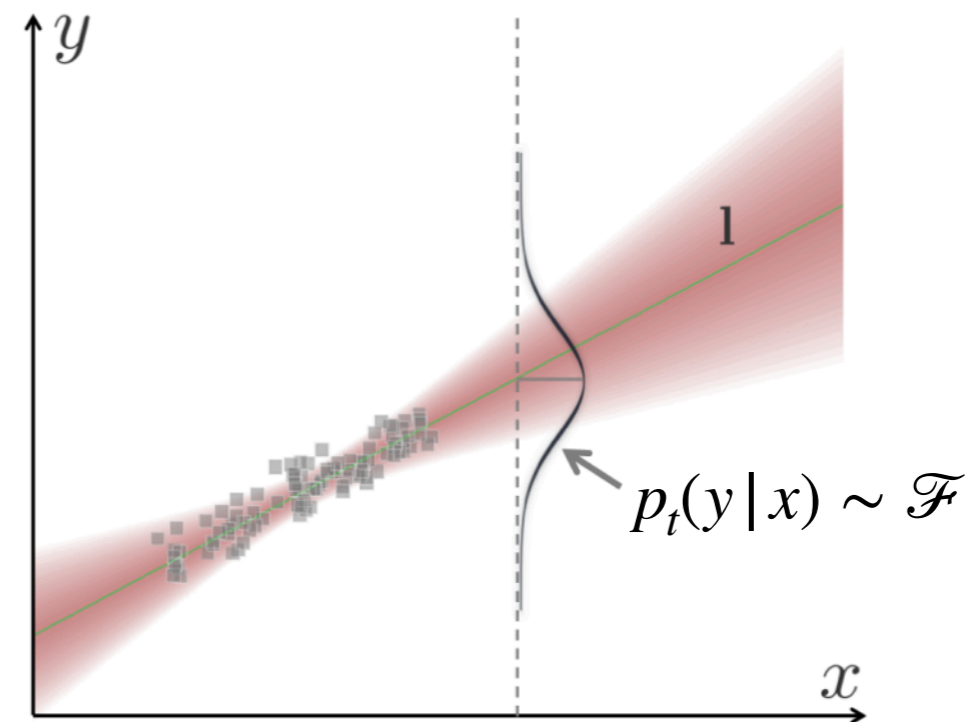
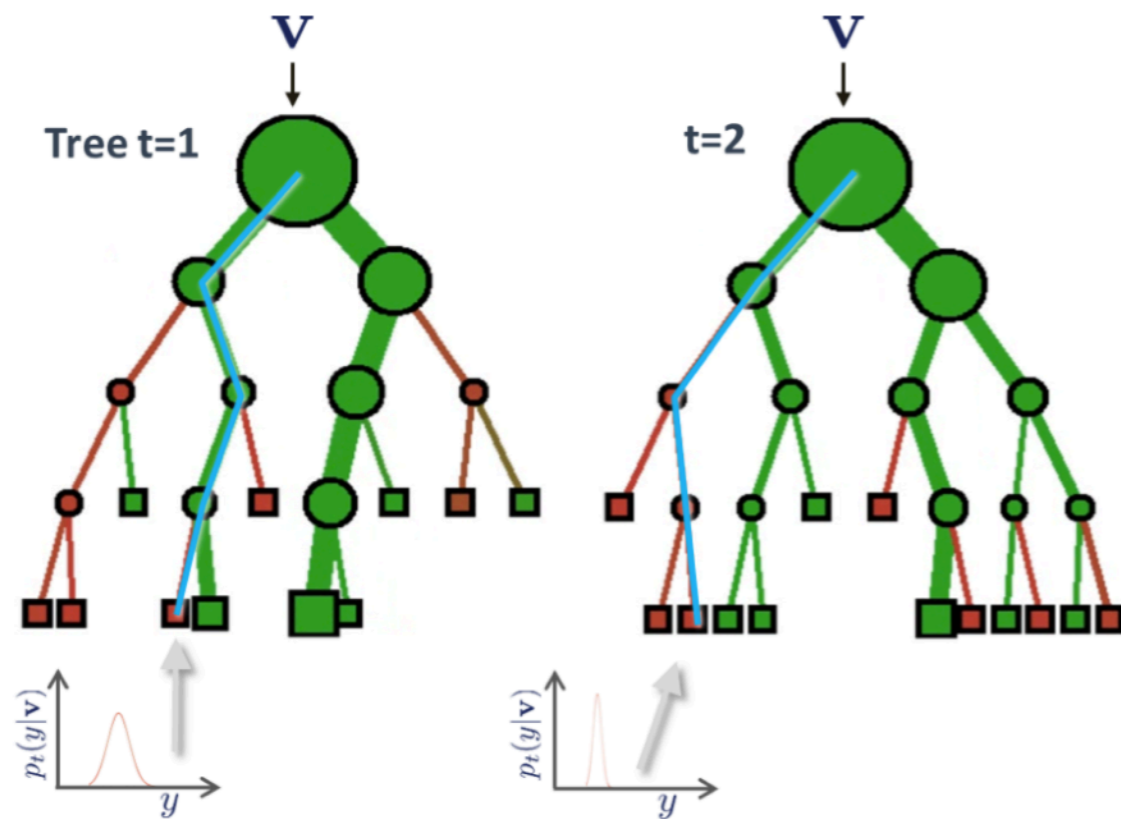


HELA code (Marquez-Neila et al. 2018)

The random forests method

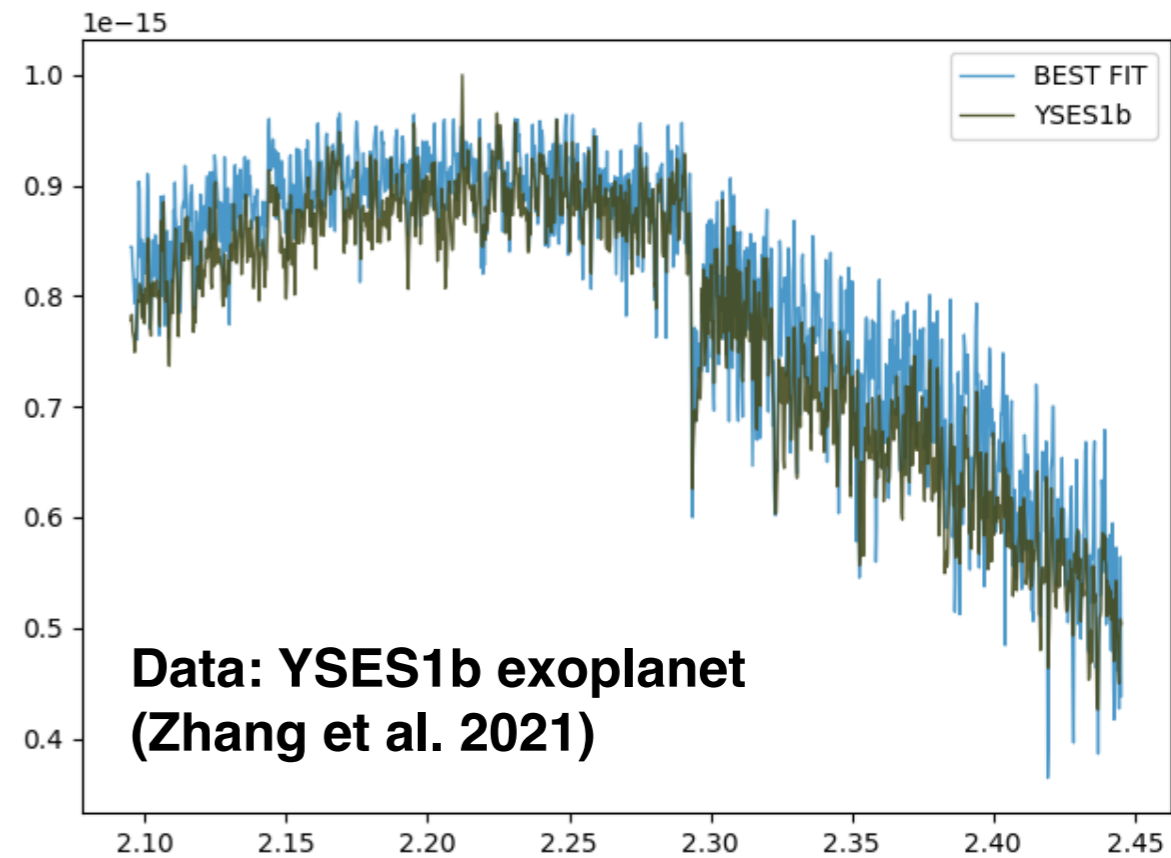
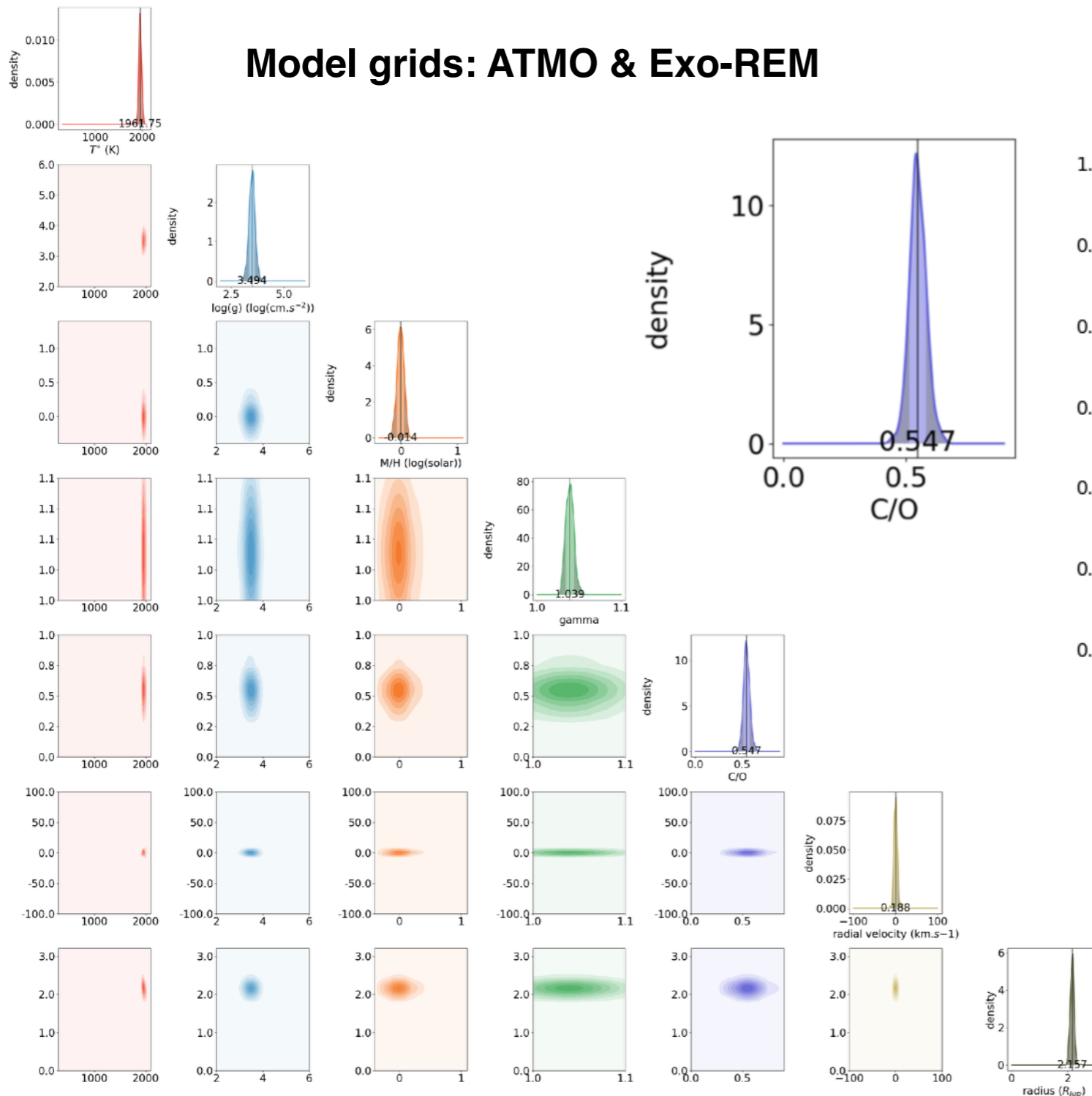
Modified framework (Criminisi et al. 2012):

Probabilistic linear regression post-partition

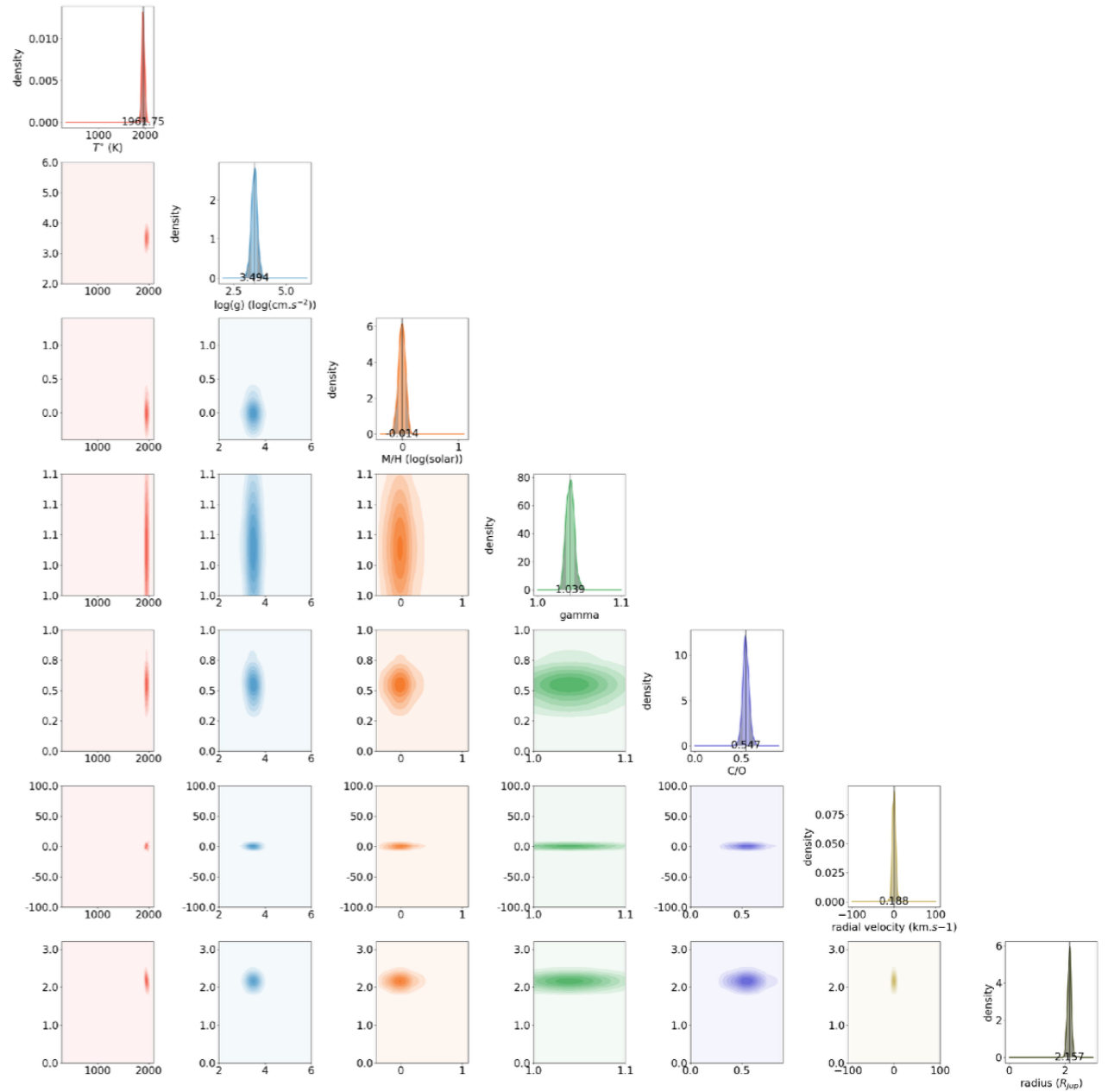
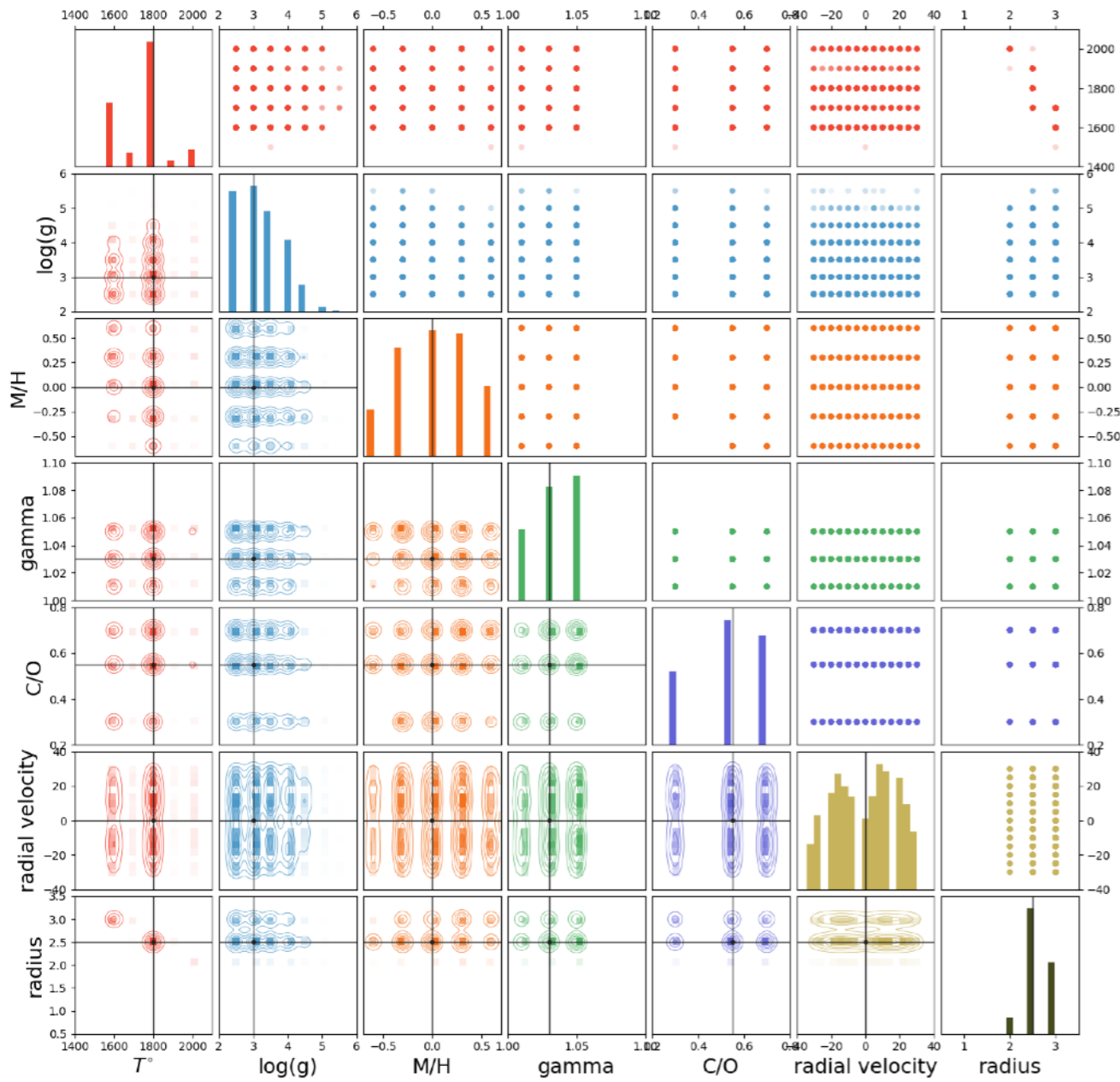


$$p(y|x) = \frac{1}{T} \sum_t^T p_t(y|x)$$

Application



Pros & cons

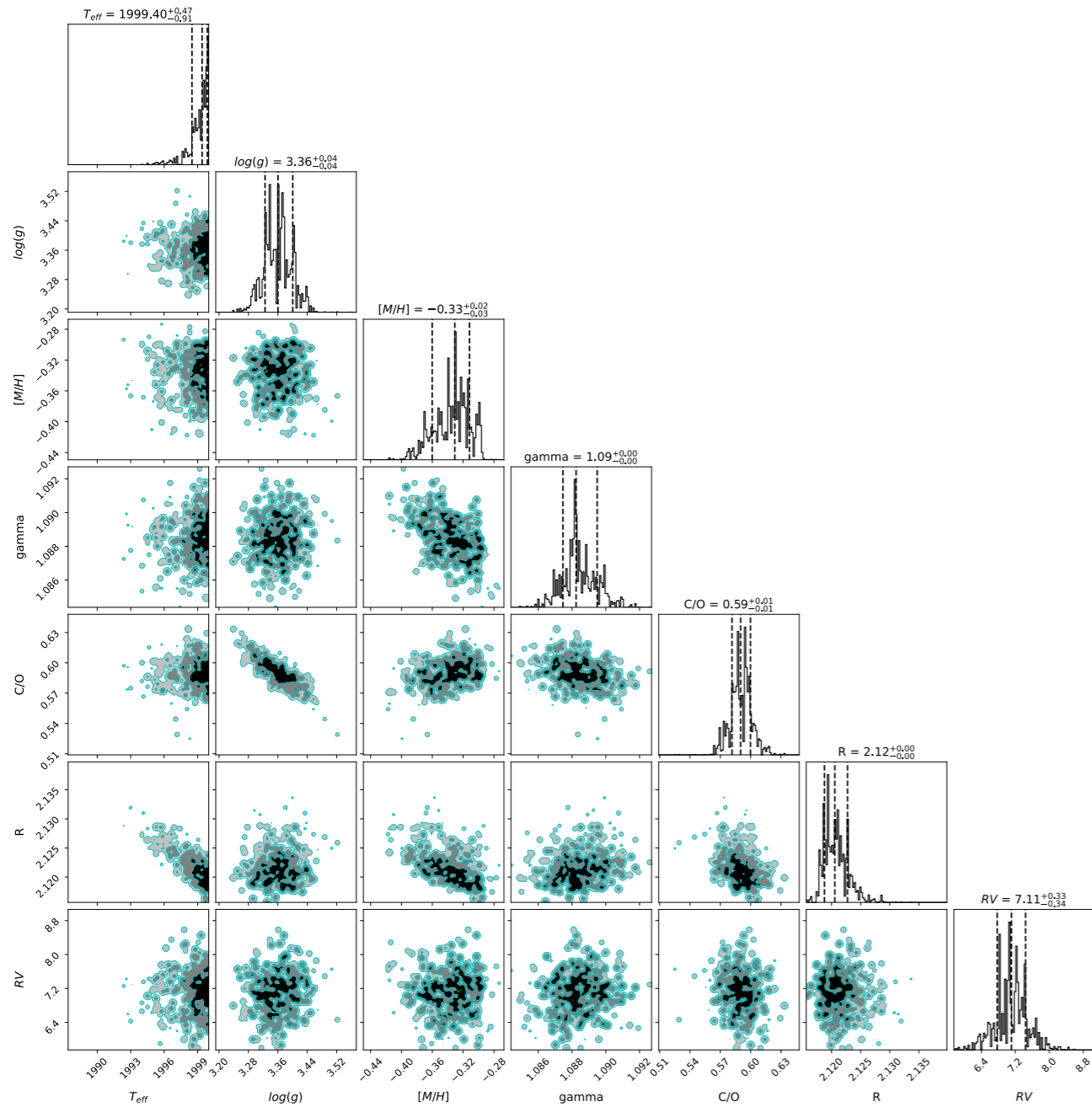


HEL A code (Marquez-Neila et al. 2018)

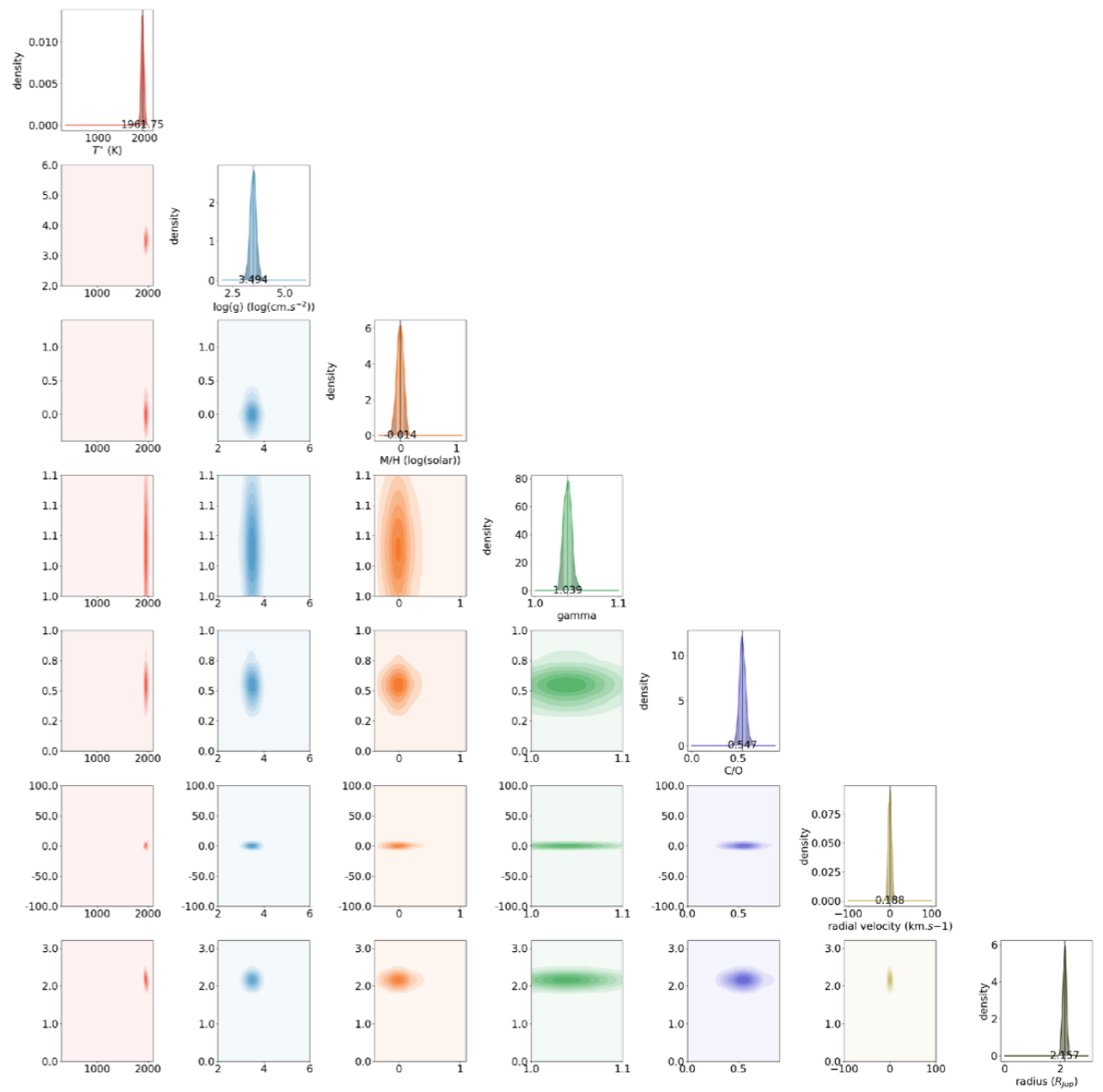
PCLRF (Komba-Betambo et al. 2022)

Pros & cons

YSES1b



ForMoSa code (Petrus et al. 2020)

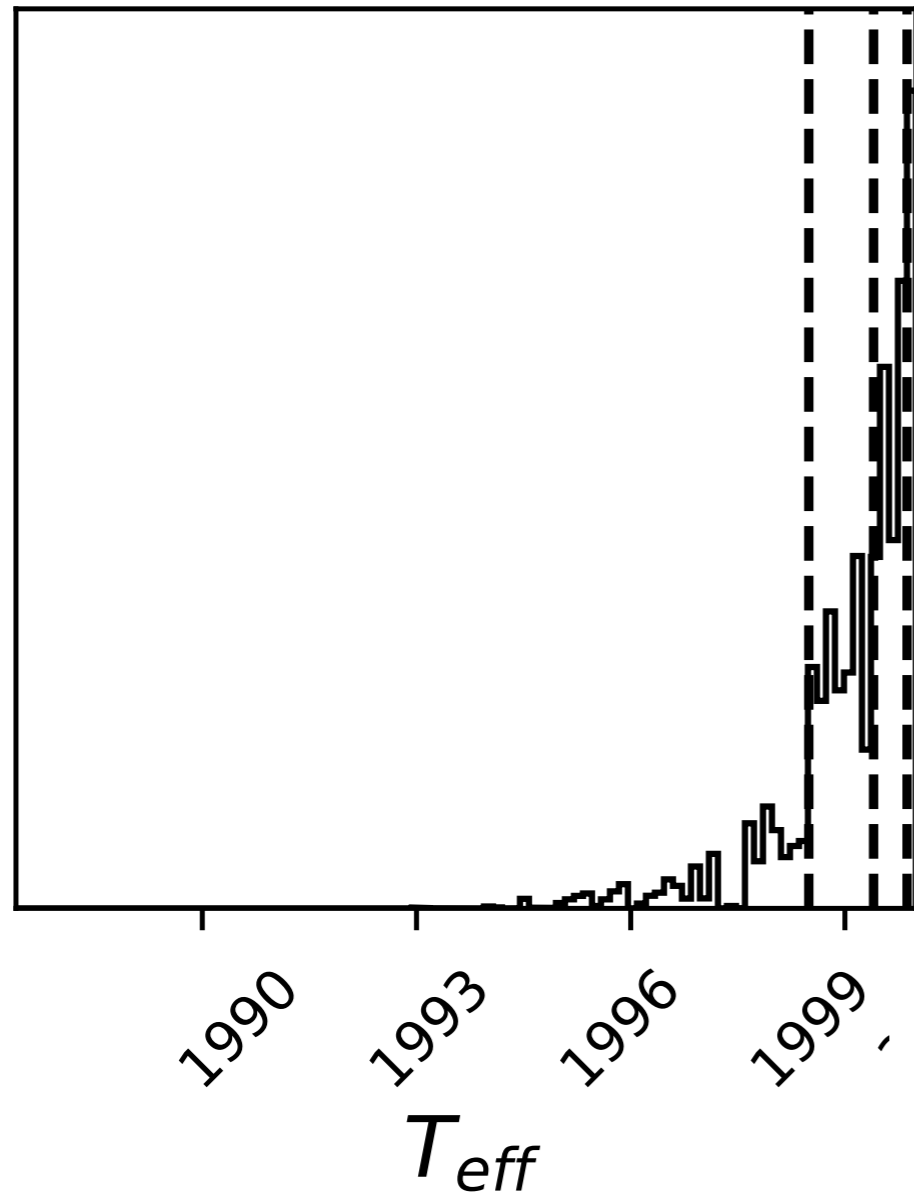


PCLRF (Komba-Betambo et al. 2022)

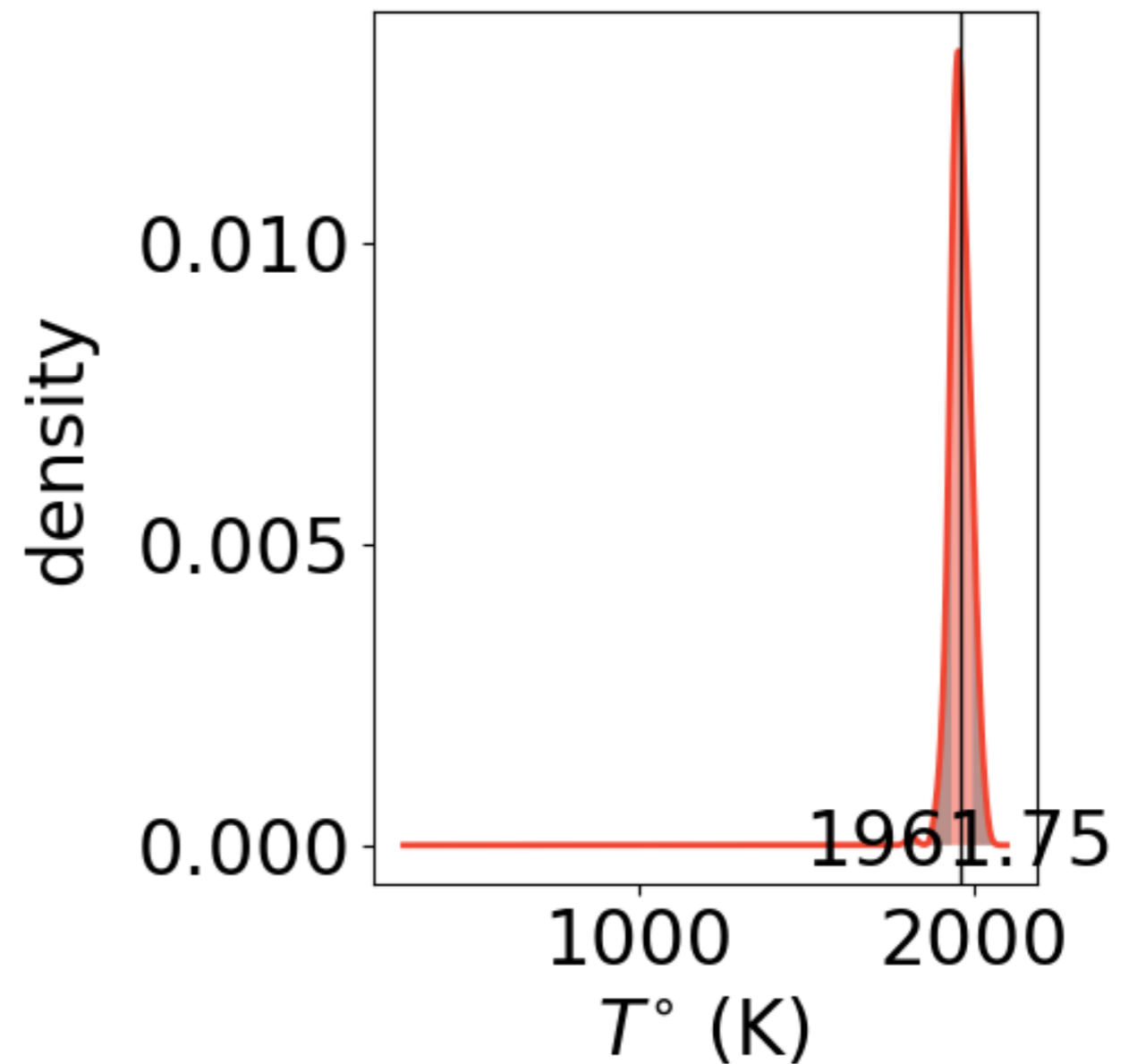
Pros & cons

YSES1b

$$T_{eff} = 1999.40^{+0.47}_{-0.91}$$



ForMoSa code (Petrus et al. 2020)



PCLRF (Komba-Betambo et al. 2022)

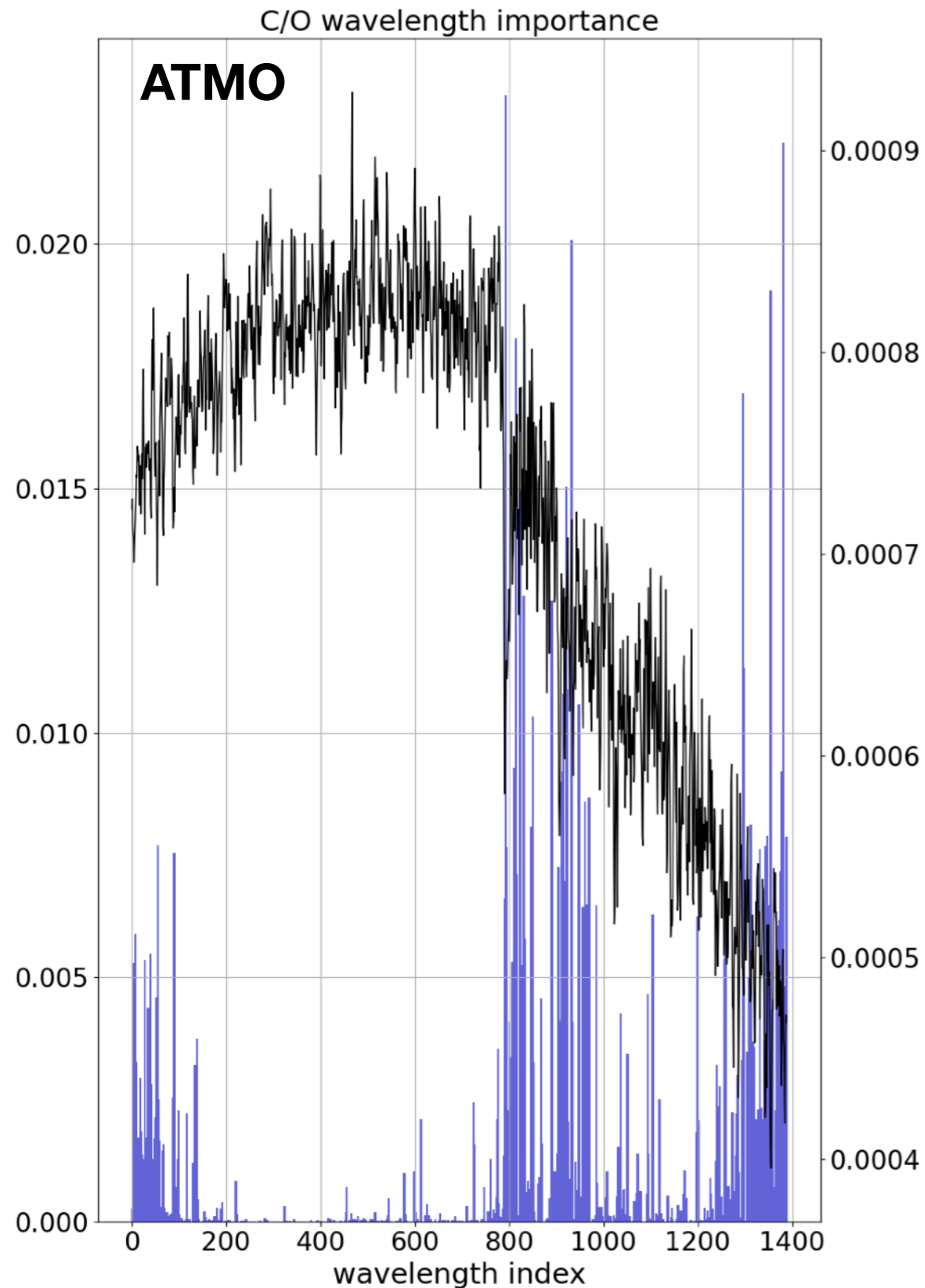
Pros & cons

AB Pic b

Table 1: Retrieved model parameters for AB Pic b using the Nested Sampling (ForMoSA-NS) and PCLRF approaches

Method	T_{eff} (K)	$\log g$ (dex)	M/H (dex)	γ	C/O	radial velocity (km.s ⁻¹)	radius (R _{Jup})
ForMoSA-NS	2056^{+10}_{-11}	≤ 3.01	$-0.31^{+0.01}_{-0.04}$	1.08 ± 0.01	$0.69^{+0.01}_{-0.01}$	1.21 ± 0.01	1.21 ± 0.01
PCLRF	2121^{+40}_{-41}	$3.19^{+0.22}_{-0.22}$	$-0.11^{+0.1}_{-0.09}$	$1.03^{+0.006}_{-0.004}$	$0.62^{+0.04}_{-0.04}$	$11.4^{+4.4}_{-4.8}$	$1.08^{+0.046}_{-0.044}$
RF ¹	2200^{+0}_{-500}	$3^{+1}_{-0.5}$	$-0.3^{+0.6}_{-0.3}$	$1.03^{+0.02}_{-0.02}$	$0.55^{+0.15}_{-0.0}$	16^{+24}_{-24}	$1.0^{+0.5}_{-0.0}$

Pros & cons



Pros

- **Computation time**

training = 10min
regression = 34s

(Comparison : Bayesian = 1 nuit)

Application on massive datasets

- **Feature importance plot**

Maximise S/N for key wavelengths

Cons

- **We loose control on the model**

- **Treatment of uncertainties**

Komba-Betambo et al. 2022 (in prep)